

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Rockford Housing Authority</u> PHA Code: <u>IL 06-P022</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2011</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1918</u> Number of HCV units: <u>1581</u>												
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <tr> <th>PH</th><th>HCV</th></tr> <tr> <td></td><td></td></tr> <tr> <td></td><td></td></tr> <tr> <td></td><td></td></tr> </table>	PH	HCV						
PH	HCV												
	PHA 1:												
	PHA 2:												
	PHA 3:												
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: A quality home is the Foundation of Society & Community: Quality home life provides hope and the pathway to our goals. Responsible and respectful people deserve the opportunity to contribute to attaining a comfortable, quality home and neighborhood all can have pride in. RHA partners with the Community and Responsible and Respectful Families to transform Houses into Homes. The Rockford Housing Authority – Quality People, Quality Homes, Quality Neighborhoods – For a Quality Rockford.												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See attached Exhibit 5.2 Goals and Objectives												
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: See attached Exhibit 6.0 (a) PHA Plan Element Revisions (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. The public may obtain copies of the Rockford Housing Authority 5-Year and Annual Plan at: The Rockford Housing Authority 223 South Winnebago Street Rockford, IL 61102 or on the internet at: http://www.rockfordha.org												
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> See attached Exhibit 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers												
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.												
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Exhibit 8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report												

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Exhibit 8.2 Capital Fund Program Five-Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>See Exhibit 9.0 Housing Needs</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>See Exhibit 9.1 Strategy for Addressing Housing Needs</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. See Exhibit 10.0 (a) Progress in Meeting Mission and Goals</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" See Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Exhibit 5.2 Goals and Objectives

1) Maintain and strengthen financial viability.

Achieve positive cash flow within each managed program and asset. This includes weaning the Central Office Cost Center from the use of diminishing Capital Funds to maximize its use for capital improvement to the full benefit of our developments. Progress has been made over the past year, but in order to achieve the full benefit, it will require a couple of years of additional transition to accomplish. The Asset Management Group may strategically enter into partnership with private firms to manage current and acquired affordable housing units within the portfolio.

2) Develop Non-HUD income streams

Development of Non-HUD income streams may involve developing partnerships with private entities through the use of tax credits and project based vouchers as well as other innovative financing vehicles.

These avenues are currently being explored and significant progress has been made to achieve these goals over the near future. New revenues may also include fee for service based professional property management services.

3) Through demolition, disposition, or a combination of redevelopment and demolition, transition our residents into modern affordable housing family developments.

Develop a variety of housing options including mixed income housing, scattered site housing, homeownership options, tax credit projects, the utilization of project base vouchers, via CFFP leveraging and other innovative approaches which enhance quality of life and promote responsibility and accountability of our residents.

4) Upgrade the Scattered Site program

Through rehabilitation, demolition, and/or the sale of properties that are not financially viable to maintain it is RHA's goal to improve its scattered housing stock. RHA intends to utilize proceeds from the sale of obsolete assets to support and develop new rental units and a self-sustaining community enhancing homeownership program. For a listing of scattered site units and actions see **Attachment f**. Those units labeled "Disposition/Rehab" will be appraised and checked against the 2011 physical needs analysis to determine the appropriate action and best use of RHA funds.

5) Upgrade and modernize the high rise and low rise buildings

For developments that will be retained, creatively utilize a variety of grant funding sources to leverage investment capital including; but not limited to: Capital Fund, Energy Performance Contracting and grants, Capital Fund leveraging, tax credits and HOPE VI.

6) Expand case management capabilities in our Resident Services Departments

Target and acquire available grants for continued development of innovative approaches for aiding responsible residents to achieve increased self-sufficiency.

7) Require greater accountability for participants and landlords of all HCV programs

Educate and train staff, participating and potential landlords in how existing HCV program regulations support stricter lease enforcement by landlords and lease compliance by participants to ensure that responsible and respectful participants are welcomed in every neighborhood.

Expand RHA's family self-sufficiency participation to demonstrate increased responsibility of participants to the community as more become self-supporting and free of all sources of subsidy.

8) Achieve greater accountability for public housing residents through strengthened lease enforcement and lease provisions as well as enhanced monitoring and training.

Educate and train staff on updated ACOP and lease enforcement. Educate and train residents on lease requirements emphasizing our mission to "Partner with the Community and Responsible and Respectful Families to transform Houses into Homes".

9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.

10) Continue to focus on curb appeal of the exterior and interior of all of our developments.

Focus staff on the importance of attention to detail in improving resident and public perception as well as our ability to effectively market our buildings. Monitor Dashboard Key Performance Indicators within the Asset Management Group (AMG) and routinely report to the Board of Commissioners

11) Provide a safe environment for our residents.

Utilize lease enforcement, pre-lease screening, as well as private security and public law enforcement efforts to screen out or remove from tenancy those who are irresponsible and / or disrespectful with respect to the lease, vision and mission objectives.

All programmatic efforts for families are to be focused on measures that will aid families to develop abilities necessary to move up and out of public housing.

Exhibit 6.0 (a) PHA Plan Element Revisions

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

The Rockford Housing Authority Admissions and Occupancy Plan (ACOP) and the Section 8 Administrative Plan are updated periodically as necessary. To obtain a copy of the most recent version of these documents, please visit the Rockford Housing Authority website <http://www.rockfordha.org>.

Termination of Housing Assistance Payment Contract due to insufficient funding

This is a new policy that provide procedure for when and if the program is faced with the prospect of insufficient funding despite cost-cutting and spending cuts and must terminate HAP contracts to bring program cost/spending level within the PHA's annual budget Authority.

Minimum Rent Change From \$25 to \$50 per month and Hardship Policy

The Public Housing Reform Act of 1998 makes permanent a minimum rent requirement of between \$0 and \$50. PHA's are required to formally adopt a minimum rent policy. The policy can establish the minimum rent anywhere within the statutory range. The PHA must amend their Administrative Plan to reflect the policy and/or any changes in the amount charged as the minimum rent. This change will also be consistent with the Public Housing monthly minimum rent amount. The Hardship Policy provides guidance and procedures in cases where a family qualifies for and the PHA determines that the minimum rent is subject to exemption because it creates a financial hardship for the family.

Interim Reexamination Policy

The family must report and the PHA will conduct interim reexaminations when the following changes occur: When a family reports a decrease in income, if the household income increases by \$200 or more a month (2,400 annually which was a HUD recommendation); when an adult member of the household who was reported as unemployed or laid off in the most recent reexamination obtains employment and/or returns to work; and when a family member had previously been at an income level of zero (0) and/or had reported gift income on the most recent reexamination has a change in income.

Rockford Housing Authority Ethics Policy

It is recommended that the RHA add a written code of Conduct Policy in the Section 8 HCV Administrative Plan.

Decrease in the Program Payment Standards

This recommended decrease in the program payment standard is a cost cutting initiatives in an effort to avoid termination of family assistance and HAP contract termination.

In accordance with 24CFR 982.505 (a) (3) we have also requested a special waiver of the requirement that payment standard decreases be made effective at the family's second annual recertification. Our cost cutting strategy calls for the effective date to be December 1, 2010, with notice to the participants and owners on or about October 28, 2010.

Bedroom Size	Payment Standards	
0 Bedroom	\$503.00	
1 Bedroom	\$567.00	
2 Bedroom	\$718.00	
3 Bedroom	\$939.00	
4 Bedroom	\$967.00	
5 Bedroom	\$1,112.00	
6 Bedroom	\$1,206.00	
SRO	\$362.00	

Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

2. Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2011 grants)		
a) Public Housing Operating Fund	\$7,198,000	
b) Public Housing Capital Fund 111	\$2,612,034	
c) HOPE VI Revitalization	n/a	
d) HOPE VI Demolition	n/a	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$10,700,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	n/a	
g) Resident Opportunity and Self-Sufficiency Grants	\$123,000	PHSS
h) Community Development Block Grant	n/a	
i) HOME	n/a	
Other Federal Grants (list below)		
Recovery & Reinvestment Act of 2009 (Stimulus) 109S	\$ 00	
310R	\$1,691,391	
610R	\$1,700,000	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CFP- #501-08	\$ 37,715	
CFP- #501-09	\$ 596,202	
CFP- #501-09 RHF	\$ 135,903	
CFP- #501-10	\$1,009,994	
CFP- #501-10RHF	\$ 135,680	
CFP- #110E	\$ 4,166	
3. Public Housing Dwelling Rental Income	\$2,080,000	
4. Other income (list below)		
PH FSS Coordinator	\$ 66,955	PHSS
HCV FSS Coordinator	\$ 00	
Neighborhood Networks	\$ 150,000	
5. Non-federal sources (list below)		
Total resources	\$28,241,040	

Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

3. Rent Determination

RHA is in the process of reviewing its “flat rent” and conducting a market survey. To the extent allowable by HUD guidelines 24 CFR 960.253(d) RHA will adjust flat rent in the coming year.

4. Operations and Management

RHA continues its reorganization efforts as a means to streamline operations. Please refer to the Organizational Chart – See “**Attachment G.**” Over the coming year, RHA will continue to review options and sources for additional efficiencies – including but not limited to contract management and operational and executive staff realignment. Property policies and procedures are under review. This includes the ACOP. As changes are finalized, the PHA plan will be updated as required.

A CFFP review is underway as the intent for capital fund leveraging exists. CFFP leveraging will serve as a means to provide RHA the capacity to update and build new facilities that support affordable housing.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning 10/1/10	Expected Turnover
Public Housing	1552	460
Section 8 Vouchers	1481	100
Section 8 Enhanced Valley View	45	10
Section 8 Mod Rehab	320	50
Special Purpose Section 8 Certificates/Vouchers (list individually)	Vash – 35 Bridge – 10 SRD - 32	5
Other Federal Programs Public Housing FSS Coordinator	51	5
Home Ownership Choice Vouchers	19	2
CGP	2005	NA
Service Coordinator	648	On going program
Section 8 FSS Coordinator	119	12
Neighborhood Network	Avg. 597 per	0

Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

5. Grievance Procedures

In 2010 RHA incorporated the ACOP by incorporating "Appendix B-Complaints, Grievances and Appeals" into the ACOP as "Chapter 14 Complaints, Grievances and Appeals."

6. Designated Housing For Elderly and Disabled Families

The Rockford Housing Authority will likely apply for designation of housing for elderly and disabled families at the following property in the upcoming year contingent upon the outcome of the redevelopment planning process currently underway:

IL022005152P Brewington Oaks

Designation type: Near Elderly and Disabled

Application Status: Not yet submitted

Date for Planned Submission: 2011

Number of Units Affected: 1-418 (minimum and maximum respectively)

7. Community Service and Self-Sufficiency

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (Waiting list/random selection/specific criteria/other)	Access (Development office / PHA main office / other provider name)	Eligibility (Public housing or Section 8 participants or both)
Boys & Girls Clubs	609 BH	Voluntary	Blackhawk &	Both
Boys & Girls Clubs	169 OK		Orton Keyes	Both
Crisis Intervention	As needed	Voluntary	All RHA	Both
ESL	120	Voluntary	Fairgrounds	Both
GED	44	Voluntary	Blackhawk	Both
Pre-GED	86			
Health Fairs/Flu Clinics	83 pr yr	Voluntary	All RHA	Both
Homeownership Classes	8	Voluntary	Main office	Both
Love Inc-Paper Angels	30 per mo	Voluntary	Midvale-Low Rise	LIPH
Money Smart Workshop	35	Voluntary	All RHA	Both

Neighborhood Network Center	58 per mo	Voluntary	B.O./Fairgrounds Or.Key/RHA	Both
Opportunity, Career, & Employment Fairs	73	Voluntary	B.O./Fairgrounds Or.Key/RHA	Both
Outreach/Case Management	167 per mo	Voluntary	High & Low Rises	LIPH
ROSS I	81 per yr	Voluntary	All RHA	LIPH
ROSS II	108	Voluntary	All Developments	LIPH
Blackhawk School Age Clinic	686 - individual 1493 –units	Voluntary	Blackhawk	Both
Summer Food Program	1493 meals served	Voluntary	Fairgrounds	Both
Summer Food Program	996 meals Served		Orton Keyes	Both
Transportation	As needed	Voluntary	GED classes, Life skills, trips/special events Opp & job fairs	Both
Work First/Work Experience	2 residents Per year	Mandatory	Central Office All Developments	
Success X's 3 (Section 3)	72 a year	Voluntary	RVC/RKFD College	Both
			Rasmussen/Business	
Life Skills	77	Voluntary	All Developments	Both
Team Tuesday	38	Voluntary	All Developments	Both
B-Top	65	Voluntary	No. Main Manor	Both

(2) Family Self Sufficiency program/s

a. Participation/Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2011 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	1	56 of 09/30/10
Section 8	113	112 as of 09/30/10

b. Community Service

Over 2011 the ACOP plan will be reviewed. Changes to strengthen the community service components are anticipated after the submission of the PHA plan. The changes will hold each tenant more accountable and will better monitor their community service hours as well as track any criminal activity through regular background checks. As the changes are finalized, the PHA plan will be updated as required.

Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

8. Safety and Crime Prevention

The RHA takes resident safety and crime prevention seriously.

Because of serious crime that occurs in some of our neighborhoods, each of our public housing property sites has a comprehensive plan as an aggressive approach to prevent and counter it. As a primary component of the plan, RHA employs private security directing substantial monetary resources to this effort. Prevention is the key to an effective plan. As a preventive measure we have incorporated a very aggressive applicant screening process for future and recertifying residents. This screening process is intended to stop housing applicants with criminal history, outstanding debts to utility companies, and prior housing evictions.

RHA staff is in daily contact with the Rockford Police Department to ensure that any developments or neighborhood criminal activity is noted and proper corrective action is taken. Additionally, the Rockford Police Department assists in the review of resident criminal background information to confirm who may be in violation of their lease. We meet with Resident Counsels to discuss community policing and neighborhood watch programs. In addition to all these efforts, we are utilizing camera monitoring systems at several locations based on criminal occurrences and trends. In 2011, we expanded the monitoring system to include additional cameras and access. It is our goal to provide direct visual/monitoring access to the Rockford Police Department in 2011/2012 to better improve our development environments.

9. Pets

RHA allows common household pets under its pet acceptance and approval policy. The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. There has been a change to the policy over the past year in that Appendix D (to the ACOP) was included as Chapter 13 in the ACOP. Additionally, RHA will be reviewing its ACOP again this year and intends to make changes to the plan as needed. This intention includes the pet policy.

10. Civil Rights Certification

No Revisions.

11. Fiscal Year Audit

There were no reportable findings for the fiscal year ended September 30, 2010. Audit conducted by SVA certified public accountants, S.C.

12. Asset Management

RHA is exploring many different modern “market rate” property and best practices as a means to deliver its asset management services more efficiently. These include the possible introduction of contract management to a portion of the HA’s portfolio. Executive staff has also realigned the operations, development and modernization staff to provide greater efficiencies and to better connect services and improvements at the properties.

A full portfolio review is underway to prioritize capital and operational improvements to both the physical property and service delivery under the new more efficient operating structure. This review coupled with the recent completion of portfolio wide physical needs assessment(s) will also guide and prioritize anticipated scattered site dispositions and demolitions. See **Attachment f** for a thorough list of currently anticipated demolitions and dispositions. It is RHA’s goal to make significant progress in the

modernization of its facilities over the next (5) year window. Please refer to the Public Housing Asset Management Table for property specific detail(s).

All properties are candidates for state and local energy efficiency improvements under appropriate economic and community development programs.

13. Violence Against Women Act

PHA residents are provided notification of the Violence Against Women Act during the lease signing process. The lease (in effect May 1, 2010) not only provides notice but outlines the act and its components. Each resident is made aware of the act at the time of signing via staff discussion prior to lease signing. RHA will continue to update the notices over the near term (for existing leases as they expire) to align with the February 2011 release. See Attachment **IL022kv01** Certification of VAWA.

Under the Housing Choice Voucher (HCV) Program:

- ✓ Each voucher participant is given a copy of the “Primary Features” of the act and signs an acknowledgement form. This process is conducted with all new participants as well as any recertification that may not have previously signed the acknowledgement.
- ✓ Each participating Landlord is also provided a copy of the Violence Against Women Act and signs an acknowledgement form as part of their obligation in participation in the program.
- ✓

Further, the Rockford Housing Authority refers victims of domestic violence, dating violence, sexual assault or stalking to other agencies within the area such as REMEDIES of Winnebago and Boone Counties.

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

Instructions:

For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the “changed” text in column 3.

For each Element below that **HAS NOT** changed since the last PHA Plan, enter “No Change” in column 3.

Housing Authority #	Housing Authority Name	Fiscal Year Begin Date
IL-22	Rockford Housing Authority	10/01/11

	Plan Element	Column #3
1.	Eligibility, Selection and Admissions Policies, including De-concentration and Wait List Procedures.	See Attachment h – changes to the Admissions and Continued Occupancy Policy (ACOP). Additional anticipated policy changes to the ACOP are expected during the coming year and will require an update to this PHA plan at that time. See Attachment i – changes to the Section 8 Administrative Plan.
2.	Financial Resources.	Using ARRA funds during closeout of 2 competitive grants.
3.	Rent Determination.	Minimum Rent increased – See Exhibit 6.0(a)1. Flat rents will be reviewed and adjusted in the coming year. See Exhibit 6.0(a)3.
4.	Operation and Management.	See Attachment G for Organizational Plan change.
5.	Grievance Procedures.	No Change in content.
6.	Designated Housing for Elderly and Disabled Families.	Dependant on the redevelopment outcome (receipt vs. non-award of LIHTCs) RHA may apply for Near Elderly and Disabled at IL022005152P Brewington Oaks.
7.	Community Service and Self-Sufficiency.	No Change. Changes are however anticipated in the coming year – reference Exhibit 6.0(a)7-2(b).
8.	Safety and Crime Prevention.	No Change.
9.	Pets.	No Change in content.
10.	Civil Rights Certification.	No Change
11.	Fiscal Year Audit.	No Change.
12.	Asset Management.	No Change.
13.	Violence Against Women Act (VAWA).	See Attachment j – New VAWA form.

7. Exhibit 7.0 - Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development.

The RHA applied for the HOPE VI Revitalization grant in November of 2010 and 2011 to address many needs within the City and RHA portfolio. We received notice that we were not selected for funding on both accounts. We will review how we scored, discuss new strategies and apply for the next round of HOPE VI funding in 2011 – or as available. A Hope IV award would provide a mixed finance platform specific to a development for seniors and persons with disabilities; home ownership and new housing units for low income individuals.

The proposed revitalization of Brewington Oaks includes the land where the former Jane Addams Village public housing development once stood prior to being demolished in 2008. We received the approval on the 9% Tax credit application for approximately \$5.6 million* for the Jane Addams Park Apartments and the project to build 38 units of housing for the disabled is underway

The RHA has applied for Public Sector Electric Efficiency program funds through DCEO for the second time and has received funds in both cases. We will apply for another year of the Public Sector Electric Efficiency program for properties with the most need; these are currently under review.

A development partner was selected for the Fairgrounds Valley family development (IL022000007P-210 units), in 2010. Via our partnership a Choice Neighborhoods planning grant request was submitted in 2010. RHA did not receive an award. RHA has expanded its partnerships in anticipation of a 2011 Choice Neighborhoods Planning Grant submission. A Promise Neighborhood Application, tax credits and other financing options will be considered.

The RHA continues to work on the Jane Addams consent decree dated 1/24/08 to provide 77 units of Replacement Housing through a combination of (1) construction of new, acquisition, and redevelopment of existing property for mixed-income housing (that includes public housing, affordable housing (which includes tax credit housing) and /or market rate housing) (2) construction and acquisition of property for scattered-site public housing units, and (3) redevelopment of existing privately owned units by converting tenant protection vouchers into project-based Section 8 housing. The Board of Commissioners approved a Scattered Sites acquisition program (currently underway) to address some of the need under the consent decree. Further RHA is actively reviewing acquisition of a large scale multi-family development to meet the majority of need under the decree.

The first two (2) increments of Replacement Housing Factor funds (RHF109) have been received and will be utilized in the Brewington Oaks/Jane Addams redevelopment.

- (b) Demolition and/or Disposition.** RHA completed planning meetings in 2010 with residents and community stakeholders at Brewington Oaks/Jane Addams (IL0220005152P – 418 units). It was determined that both towers would remain and be redeveloped and the unit count would be reduced from 418 units to 226 units. The HOPE VI plan also proposed to demolish 53 scattered site developments and rebuild 30 single family rental and homeownership units in their place. The RHA will also proceed with submitting a demolition and /or disposition application to HUD under this scenario should a Hope VI award come. A second review (absent a Hope VI award) of the scattered site list was completed and units were identified. Approximately 50 are being considered for demolition and/or sale based on the backlog of deferred maintenance and/or undesirable locations – see **Attachment f** for a full list of sites.

The city of Rockford is rebuilding the Morgan Street Bridge and making major road improvements to College Avenue. RHA submitted an application to dispose of adjacent land at College and Seminary, allowing the city to make these improvements. The property at 331 College Ave, 421 College Ave., and a portion of 502 Seminary Street has been sold to the City of Rockford for at fair market value and the proceeds will be used in the redevelopment of (on the adjacent former Jane Addam's site) the 38 disabled supportive housing units.

Habitat for Humanity approached RHA about acquiring RHA property that Habitat could use for future homeownership units for qualified low-income persons. RHA has partnered with Habitat and has submitted a disposition application for four scattered site properties located at 3508 and 3513 Sunnyside Avenue, 3515-19 Montrose Avenue. RHA is awaiting final disposition confirmation.

1405 Charles Street has a pending disposition application so that this vacant lot can be transferred to the Swedish American Hospital Foundation for development into a neighborhood park; providing recreational amenities to the surrounding neighborhood.

(c) Conversion of Public Housing. Property appraisals and viability analyses began in 2008 for all RHA developments. Further assessment will need to take place to determine if voluntary conversion to project based assistance would be feasible for Blackhawk or Orton Keyes. Plans to redevelop Brewington and Fairgrounds will take first priority using a mixed financing approach.

(d) Homeownership. The RHA may apply for HUD approval of a portion of the Scattered Sites in 2011-12.

*Value based on current market rate value of LIHTC award.

Project-based Vouchers. In 2011/2012, the RHA intends to apply for project-based vouchers for the redevelopment of the Jane Addam's site (38 units) and the Brewington Oaks high rise development (IL0220005152P – 418 units to be reduced to 226 units)). Additionally, the RHA intends to utilize up to 77 project-based vouchers as new development for replacement units under the Jane Addams consent decree. These units will be targeted in areas of low poverty (less than 14%) and in areas that offer more opportunities to residents while implementing green building technology and design features. This strategy is consistent with the guiding principles included in the RHA 2009-2014 Strategic Plan that promotes de-concentration of poverty and develop, acquire or rehabilitate affordable housing utilizing recycled or "green" building products and environmentally friendly building designs to the maximum extent feasible.

Public Housing Asset Management Table

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL022000001P Blackhawk Courts	196	See Exhibits 8.1 & 8.2	Under Review for potential redevelopment utilizing mixed-financing or conversion. Community building renovations to be reviewed and prioritized by ROI.	NA	NA	Candidate for Voluntary Conversion in 2010/2011.	NA	NA
IL022000003P Orton Keyes	175	See Exhibits 8.1 & 8.2	Anticipate modernization of the community space to deliver modern resident services; including educational and job training programming. Adjacent vacant development land may be utilized as a pilot urban farming program bringing need for modest, program related community building construction.	NA	NA	NA	NA	NA
IL022000414P Park Terrace	183	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Common space renovation and modernization to continue in 2012.	NA	NA	NA	NA	NA
IL022005152P Brewington Oaks	418	See Exhibits 8.1 & 8.2	To be redeveloped in 2011 through 2015 utilizing mixed-financing. HOPE VI application may be submitted; subject to grant availability	Under review for potential demolition or disposition in 2011.	NA	Candidate for Voluntary Conversion 2011	NA	NA

IL022000006P North Main Manor	187	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Common space renovation and Modernization to continue in 2012	NA	Elderly Only Designation	NA	NA	NA
IL022000007P Fairgrounds Valley	210	See Exhibits 8.1 & 8.2	To be redeveloped starting in 2012 utilizing mixed-financing. HOPE VI or Choice Neighborhood grant application to be submitted in 2011/2012.	Under Review for potential demolition and/or disposition in 2011/2012.	NA	Candidate for Voluntary Conversion in 2012/2012	NA	NA
IL022000020P Scattered Sites West	147	See Exhibits 8.1 & 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Continued improvements as determined by the 2011 PNA will be prioritized by greatest need and available funds.	Under Review for demolition and/or disposition of approximately 25 units in 2011/2012	NA	NA	Under review for PH Home-ownership Pgm	NA
IL022000009P Olesen Plaza	151	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Common space renovation and modernization to continue in 2012.	NA	NA	NA	NA	NA
IL022000021P Scattered Sites East	154	See Exhibits 8.1 & 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Continued improvements as determined by the 2011 PNA will be prioritized by greatest need and available funds.	Under Review for demolition and/or disposition of approximately 25 units in 2011/2012.	NA	NA	Under review for PH Home-ownership Pgm	NA
IL022000414P Low Rises	100	See Exhibits 8.1 & 8.2	Video monitoring and entry control systems are under review and may be installed during 2011/2012.	NA	Disabled Only Designation	NA	NA	NA

Over 2011 the ACOP plan is being reviewed. Changes to strengthen the community service components are anticipated after the submission of the PHA plan. The changes will hold each tenant more accountable and will better monitor their community service hours as well as track any criminal activity through regular background checks. As the changes are finalized, the PHA plan will be updated as required.

Exhibit 8.1

Capital Fund Program Annual Statement:

IL06P02250111 and

Capital Fund Performance and Evaluation Reports:

IL06P02250110

IL06R02250110

IL06P02250109

IL06R02250109

IL06S02250109

IL06P02250108

IL06E02250110

IL0600000301R

IL0600000601R

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program


U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250111 Replacement Housing Factor Grant No: Date of CFFP: APPLIED FOR	FFY of Grant: 2011 FFY of Grant Approval: 2011
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☒ **Original Annual Statement**
 ☐ **Reserve for Disasters/Emergencies**
 ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:**
 ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	524,516	524,516		
3	1408 Management Improvements	277,949	277,949		
4	1410 Administration (may not exceed 10% of line 21)	262,259	262,259		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	233,250	233,250		
8	1440 Site Acquisition	27,500	27,500		
9	1450 Site Improvement	140,000	140,000		
10	1460 Dwelling Structures	1,051,300	1,051,300		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA		88,427		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	105,817	117,390		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	2,622,591	2,622,591		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  John W. Cressman	Date 3/13/12	Signature of Public Housing Director Date
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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250111 CFFP (Yes/ No): Yes – Applied for Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 CFP for Operations	001		53,600	53,600			
001 Blackhawk	1408 Training Resident/Staff	001		5,109	5,109			
001 Blackhawk	1408 Computer Software/Hardware	001		8,175	8,175			
001 Blackhawk	1408 Safety Program	001		536	536			
001 Blackhawk	1408 Wellness Program	001		511	511			
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,533	1,533			
001 Blackhawk	1408 Marketing Plan	001		5,620	5,620			
001 Blackhawk	1408 Section 3 Coordinator	001		5,845	5,845			
001 Blackhawk	1408 Security Cameras	001		3,542	3,542			
001 Blackhawk	1410 Management Fee	001		26,801	26,801			
001 Blackhawk	1430 CFP Inspections	001		750	750			
001 Blackhawk	1430 Asbestos Survey	001		20,000	20,000			
001 Blackhawk	1430 Lead Paint Assessment	001		5,000	5,000			
001 Blackhawk	1450 Street Repairs	001		15,000	15,000			
001 Blackhawk	1450 Sidewalk Repairs	001		20,000	20,000			
001 Blackhawk	1450 Landscape Repairs	003		10,000	10,000			
003 Orton Keyes	1406 CFP for Operations	003		47,857	47,857			
003 Orton Keyes	1408 Training Resident/Staff	003		4,562	4,562			
003 Orton Keyes	1408 Computer Software/Hardware	003		7,299	7,299			
003 Orton Keyes	1408 Safety Program	003		479	479			
003 Orton Keyes	1408 Wellness Program	003		456	456			
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,369	1,369			
003 Orton Keyes	1408 Marketing Plan	003		5,018	5,018			
003 Orton Keyes	1408 Section 3 Coordinator	003		5,219	5,219			
003 Orton Keyes	1408 Security Camera	003		3,163	3,163			
003 Orton Keyes	1410 Management Fee	003		23,930	23,930			
003 Orton Keyes	1430 CFP Inspections	003		750	750			
003 Orton Keyes	1430 Asbestos Survey	003		20,000	20,000			
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000	20,000			
003 Orton Keyes	1460 Entrance Doors & Hardware	003		10,000	10,000			
003 Orton Keyes	1460 Storm Door Replacement	003		10,000	10,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250111 CFFP (Yes/ No): Yes – Applied for Replacement Housing Factor Grant No:		Federal FFY of Grant: 2011				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
0414 Park Terrace	1406 CFP for Operations	0414		50,045	50,045			
0414 Park Terrace	1408 Training Resident/Staff	0414		4,771	4,771			
0414 Park Terrace	1408 Computer Software/Hardware	0414		7,633	7,633			
0414 Park Terrace	1408 Safety Program	0414		501	501			
0414 Park Terrace	1408 Wellness Program	0414		477	477			
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		1,431	1,431			
0414 Park Terrace	1408 Marketing Plan	0414		5,248	5,248			
0414 Park Terrace	1408 Section 3 Coordinator	0414		5,458	5,458			
0414 Park Terrace	1410 Management Fee	0414		25,023	25,023			
0414 Park Terrace	1430 A & E Fees	0414		20,000	20,000			
0414 Park Terrace	1430 CFP Inspections	0414		5,000	5,000			
0414 Park Terrace	1430 Asbestos Survey	0414		15,000	15,000			
0414 Park Terrace	1450 Landscape Improvements	0414		5,000	5,000			
0414 Park Terrace	1460 Entrance Access System	0414		250,000	250,000			
0414 Park Terrace	1460 Riser Replacement	0414		100,000	100,000			
0414 Park Terrace	1460 Upgrade Apartment Electric	0414		\$250,000	\$250,000			
006 North Main	1406 CFP for Operations	006		51,139	51,139			
006 North Main	1408 Training Resident/Staff	006		4,875	4,875			
006 North Main	1408 Computer Software/Hardware	006		7,800	7,800			
006 North Main	1408 Safety Program	006		512	512			
006 North Main	1408 Wellness Program	006		487	487			
006 North Main	1408 Maintenance Tools & Equipment	006		1,462	1,462			
006 North Main	1408 Marketing Plan	006		5,362	5,362			
006 North Main	1408 Section 3 Coordinator	006		5,577	5,577			
006 North Main	1410 Management Fee	006		25,570	25,570			
006 North Main	1430 CFP Inspections	006		500	500			
006 North Main	1430 Asbestos Survey	006		15,000	15,000			
006 North Main	1460 Apartment Door Replacement	006		10,000	10,000			
007 Fairgrounds	1406 CFP for Operations	007		57,429	57,429			
007 Fairgrounds	1408 Training Resident/Staff	007		5,474	5,474			

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250111 CFFP (Yes/ No): Yes – Applied for Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1408 Computer Software/Hardware	007		8,759	8,759			
007 Fairgrounds	1408 Safety Program	007		575	575			
007 Fairgrounds	1408 Wellness	007		547	547			
007 Fairgrounds	1408 Maintenance Tools/Eq.	007		1,642	1,642			
007 Fairgrounds	1408 Marketing	007		6,022	6,022			
007 Fairgrounds	1408 Section 3 Coordinator	007		6,263	6,263			
007 Fairgrounds	1408 Security Cameras	007		3,795	3,795			
007 Fairgrounds	1410 Management Fee	007		28,715	28,715			
007 Fairgrounds	1430 CFP Inspections	007		750	750			
007 Fairgrounds	1430 Asbestos Survey	007		15,000	15,000			
007 Fairgrounds	1440 Appraisals	007		7,500	7,500			
007 Fairgrounds	1450 Sidewalk Repairs	007		7,500	7,500			
007 Fairgrounds	1460 Roof Replacement	007		7,500	7,500			
007 Fairgrounds	1460 Gutters and Downspouts	007		7,500	7,500			
009 Olesen Plaza	1406 CFP for Operations	009		41,294	41,294			
009 Olesen Plaza	1408 Training Resident/Staff	009		3,936	3,936			
009 Olesen Plaza	1408 Computer Software/Hardware	009		6,298	6,298			
009 Olesen Plaza	1408 Safety Program	009		413	413			
009 Olesen Plaza	1408 Wellness Program	009		394	394			
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,181	1,181			
009 Olesen Plaza	1408 Marketing Plan	009		4,330	4,330			
009 Olesen Plaza	1408 Section 3 Coordinator	009		4,503	4,503			
009 Olesen Plaza	1410 Management Fees	009		20,648	20,648			
009 Olesen Plaza	1430 A&E	009		20,000	20,000			
009 Olesen Plaza	1430 CFP Inspections	009		5,000	5,000			
009 Olesen Plaza	1430 Asbestos Survey	009		20,000	20,000			
009 Olesen Plaza	1460 Kitchen Cabinets	009		10,000	10,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number			Federal FFY of Grant: 2011			
Rockford Housing Authority		Capital Fund Program Grant No: IL06P02250111 CFFP (Yes/ No): Yes – Applied for Replacement Housing Factor Grant No:						
Development Number Name/PHA- Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
009 Olesen Plaza	1460 Closet Door Replacement	009		15,000	15,000			
009 Olesen Plaza	1460 Entrance Access System	009		250,000	250,000			
0414 Low Rises	1406 CFP for Operations	0414		27,347	27,347			
0414 Low Rises	1408 Training Resident/Staff	0414		2,607	2,607			
0414 Low Rises	1408 Computer Software/Hardware	0414		4,171	4,171			
0414 Low Rises	1408 Safety Program	0414		274	274			
0414 Low Rises	1408 Wellness Program	0414		261	261			
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		782	782			
0414 Low Rises	1408 Marketing Plan	0414		2,868	2,868			
0414 Low Rises	1408 Section 3 Coordinator	0414		2,982	2,982			
0414 Low Rises	1410 Management Fee	0414		13,674	13,674			
0414 Low Rises	1430 CFP Inspections	0414		500	500			
0414 Low Rises	1430 Asbestos Survey	0414		10,000	10,000			
0414 Low Rises	1460 Closet Door Replacement	0414		15,000	15,000			
0414 Low Rises	1460 PTAC Replacement	0414		8,800	8,800			
020 SS West	1406 CFP for Operations	020		39,927	39,927			
020 SS West	1408 Training Resident/Staff	020		3,806	3,806			
020 SS West	1408 Computer Software/Hardware	020		6,090	6,090			
020 SS West	1408 Safety Program	020		400	400			
020 SS West	1408 Wellness Program	020		381	381			
020 SS West	1408 Maintenance Tools & Equipment	020		1,142	1,142			
020 SS West	1408 Marketing Plan	020		4,187	4,187			
020 SS West	1408 Section 3 Coordinator	020		4,354	4,354			
020 SS West	1410 Management Fee	020		19,965	19,965			
020 SS West	1430 CFP Inspections	020		5,000	5,000			
020 SS West	1430 Lead Paint Assessment	020		10,000	10,000			
020 SS West	1430 Asbestos Survey	020		15,000	15,000			
020 SS West	1440 Appraisal	020		10,000	10,000			
020 SS West	1450 Landscape Improvements	020		10,000	10,000			
020 SS West	1450 Sealcoat Blacktop Driveways	020		10,000	10,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250111 CFFP (Yes/ No): Yes – Applied for Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 SS West	1450 Walks and Driveway Repairs	020		15,000	15,000			
020 SS West	1460 Furnace Replacement	020		5,000	5,000			
020 SS West	1460 Bathroom Ventilation	020		5,000	5,000			
020 SS West	1460 016 Roof Replacement	020		10,000	10,000			
020 SS West	1460 016 Window Replacement	020		15,000	15,000			
020 SS West	1460 017 Window Replacement	020		5,000	5,000			
020 SS West	1460 019 Window Replacement	020		5,000	5,000			
020 SS West	1460 Deck Replacement	020		5,000	5,000			
021 SS East	1406 CFP for Operations	021		41,567	41,567			
021 SS East	1408 Training Resident/Staff	021		3,962	3,962			
021 SS East	1408 Computer Software/Hardware	021		6,340	6,340			
021 SS East	1408 Safety Program	021		416	416			
021 SS East	1408 Wellness Program	021		396	396			
021 SS East	1408 Maintenance Tools & Equipment	021		1,189	1,189			
021 SS East	1408 Marketing Plan	021		4,359	4,359			
021 SS East	1408 Section 3 Coordinator	021		4,533	4,533			
021 SS East	1410 Management Fees	021		20,784	20,784			
021 SS East	1430 CFP Inspections	021		5,000	5,000			
021 SS East	1430 Lead Paint Assessment	021		10,000	10,000			
021 SS East	1430 Asbestos Survey	021		15,000	15,000			
021 SS East	1440 Appraisals	021		10,000	10,000			
021 SS East	1450 Seal Blacktop Drives	021		5,000	5,000			
021 SS East	1450 Landscape Improvements	021		7,500	7,500			
021 SS East	1450 Walk & Driveway Repairs	021		15,000	15,000			
021 SS East	1460 Lead Paint Abatement	021		5,000	5,000			
021 SS East	1460 008 Roof Replacement	021		5,000	5,000			
021 SS East	1460 016 Window Replacement	021		15,000	15,000			
021 SS East	1460 017 Roof Replacement	021		7,500	7,500			
021 SS East	1460 019 Roof Replacement	021		7,500	7,500			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

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form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	09/30/12		09/30/14		
IL22-003 Orton Keyes	09/30/12		09/30/14		
IL22-0414 Park Terrace	09/30/12		09/30/14		
IL22-006 N. Main Manor	09/30/12		09/30/14		
IL22-007 Fairgrounds	09/30/12		09/30/14		
IL22-009 Olesen Plaza	09/30/12		09/30/14		
IL22-0414 Low Rises	09/30/12		09/30/14		
IL22-020 SS - West	09/30/12		09/30/14		
IL22-021 SS - East	09/30/12		09/30/14		
IL22-5152 Brewington	09/30/12		09/30/14		
PHA Wide	09/30/12		09/30/14		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250110 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2010 FFY of Grant Approval: 2010	

Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/11 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	640,204	640,204	640,204	226,099
3	1408 Management Improvements	226,468	226,468	0	
4	1410 Administration (may not exceed 10% of line 21)	320,102	320,102	320,102	160,051
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	83,500	81,500	1,440	1,440
8	1440 Site Acquisition				
9	1450 Site Improvement	122,000	115,000	0	0
10	1460 Dwelling Structures	1,361,500	1,550,251	1,146,751	210,290
11	1465.1 Dwelling Equipment—Nonexpendable	80,000	49,832	39,832	0
12	1470 Non-dwelling Structures	154,500	147,500	0	0
13	1475 Non-dwelling Equipment	10,000	10,000	8,699	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	25,000	38,417	34,000	0
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	177,748	21,748	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,201,022	3,201,022	2,191,028	597,880
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director John W. Cressman Date 6/20/11	Signature of Public Housing Director Date
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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 CFP for Operations	001		64,423	130,000	130,000	38,520	In Process
001 Blackhawk	1408 Training Resident/Staff	001		5,109	5,109	0	0	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		8,175	8,175	0	0	In Process
001 Blackhawk	1408 Safety Program	001		536	536	0	0	In Process
001 Blackhawk	1408 Wellness Program	001		1,022	1,022	0	0	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,533	1,533	0	0	In Process
001 Blackhawk	1408 Marketing Plan	001		5,620	5,620	0	0	In Process
001 Blackhawk	1410 Management Fee	001		32,711	32,711	32,711	16,355	In Process
001 Blackhawk	1430 CFP Inspections	001		2,000	2,000	0	0	In Process
001 Blackhawk	1450 Street Repairs	001		40,000	40,000	0	0	In Process
001 Blackhawk	1450 Sidewalk Repairs	001		10,000	10,000	0	0	In Process
001 Blackhawk	1450 Landscape Repairs	001		25,000	25,000	0	0	In Process
001 Blackhawk	1460 Storm Door Replacement	001		10,000	10,000	0	0	In Process
001 Blackhawk	1470 Day Care Roof - Shingles	001		15,000	15,000	0	0	In Process
001 Blackhawk	1470 Community Room Furnace	001		18,000	18,000	0	0	In Process
003 Orton Keyes	1406 CFP for Operations	003		58,413	130,000	130,000	38,250	In Process
003 Orton Keyes	1408 Training Resident/Staff	003		4,562	4,562	0	0	In Process
003 Orton Keyes	1408 Computer Software/Hardware	003		7,299	7,299	0	0	In Process
003 Orton Keyes	1408 Safety Program	003		479	479	0	0	In Process
003 Orton Keyes	1408 Wellness Program	003		912	912	0	0	In Process
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,369	1,369	0	0	In Process
003 Orton Keyes	1408 Marketing Plan	003		5,018	5,018	0	0	In Process
003 Orton Keyes	1410 Management Fee	003		29,206	29,206	29,206	14,603	In Process
003 Orton Keyes	1430 A & E Fees	003		15,000	15,000	0	0	In Process
003 Orton Keyes	1430 CFP Inspections	003		5,000	5,000	0	0	In Process
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000	20,000	0	0	In Process
003 Orton Keyes	1450 Landscape Repairs	003		10,000	10,000	0	0	In Process
003 Orton Keyes	1450 Paint Site Light Poles	003		7,000	0	0	0	Complete
003 Orton Keyes	1460 Replace Porch Lights	003		25,000	15,000	0	0	In Process
003 Orton Keyes	1460 Entrance Doors & Hardware	003		5,000	0	0	0	Complete
003 Orton Keyes	1460 Furnace Replacement as needed	003		4,000	0	0	0	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FFY of Grant: 2010				
Rockford Housing Authority		Capital Fund Program Grant No: IL06P02250110						
		CFPP (Yes/ No):						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1465 Appliances	003		70,000	0	0	0	Complete
003 Orton Keyes	1470 Replace Boiler In Comm Room	003		15,000	15,000	0	0	In Process
003 Orton Keyes	1470 Roof Replacement/Head Start	003		40,000	0	0	0	Complete
003 Orton Keyes	1470 Office Interior Renovation	003		10,000	10,000	0	0	In Process
003 Orton Keyes	1470 Replace Com Bldg Entrance Doors	003		15,000	15,000	0	0	In Process
003 Orton Keyes	1470 Replace Com Room Windows	003		4,000	44,000	0	0	In Process
003 Orton Keyes	1470 Install Suspended Ceiling/Lights	003		20,000	20,000	0	0	In Process
003 Orton Keyes	1470 Community Room Flooring	003		7,500	500	0	0	In Process
0414 Park Terrace	1406 CFP for Operations	0414		61,084	40,000	40,000	7,999	In Process
0414 Park Terrace	1408 Training Resident/Staff	0414		7,377	7,377	0	0	In Process
0414 Park Terrace	1408 Computer Software/Hardware	0414		11,804	11,804	0	0	In Process
0414 Park Terrace	1408 Safety Program	0414		775	775	0	0	In Process
0414 Park Terrace	1408 Wellness Program	0414		1,475	1,475	0	0	In Process
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		2,213	2,213	0	0	In Process
0414 Park Terrace	1408 Marketing Plan	0414		8,115	8,115	0	0	In Process
0414 Park Terrace	1410 Management Fee	0414		30,541	30,541	30,541	15,270	In Process
0414 Park Terrace	1430 A & E Fees	0414		10,000	10,000	0	0	In Process
0414 Park Terrace	1430 CFP Inspections	0414		7,500	7,500	1,398	1,398	In Process
0414 Park Terrace	1460 Door Replacement-Shop	0414		5,000	5,000	0	0	In Process
0414 Park Terrace	1460 HVAC System Balancing	0414		7,500	0	0	0	Complete
0414 Park Terrace	1460 Riser Replacement	0414		550,000	777,751	777,751	210,290	In Process
0414 Park Terrace	1465 Appliances	0414		0	39,832	39,832	0	In Process
0414 Park Terrace	1495 Relocation	0414		25,000	24,416	20,000	0	In Process
006 North Main	1406 CFP for Operations	006		62,419	30,000	30,000	8,816	In Process
006 North Main	1408 Training Resident/Staff	006		4,875	4,875	0	0	In Process
006 North Main	1408 Computer Software/Hardware	006		7,800	7,800	0	0	In Process
006 North Main	1408 Safety Program	006		512	512	0	0	In Process
006 North Main	1408 Wellness Program	006		975	975	0	0	In Process
006 North Main	1408 Maintenance Tools & Equipment	006		1,462	1,462	0	0	In Process
006 North Main	1408 Marketing Plan	006		5,362	5,362	0	0	In Process
006 North Main	1410 Management Fee	006		31,208	31,208	31,208	15,604	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1430 A & E Fees	006		10,000	10,000	0	0	In Process
006 North Main	1430 CFP Inspections	006		4,500	4,500	41	41	In Process
006 North Main	1460 Weatherization	006		375,000	375,000	369,000	0	In Process
007 Fairgrounds	1406 CFP for Operations	007		70,096	180,204	180,204	74,250	In Process
007 Fairgrounds	1408 Training Resident/Staff	007		5,474	5,474	0	0	In Process
007 Fairgrounds	1408 Computer Software/Hardware	007		8,759	8,759	0	0	In Process
007 Fairgrounds	1408 Safety Program	007		575	575	0	0	In Process
007 Fairgrounds	1408 Wellness	007		1,095	1,095	0	0	In Process
007 Fairgrounds	1408 Maintenance Tools/Eq.	007		1,642	1,642	0	0	In Process
007 Fairgrounds	1408 Marketing	007		6,022	6,022	0	0	In Process
007 Fairgrounds	1410 Management Fee	007		35,047	35,047	35,047	17,523	In Process
007 Fairgrounds	1430 CFP Inspections	007		1,000	1,000	0	0	In Process
007 Fairgrounds	1450 Sidewalk Repairs	007		10,000	10,000	0	0	In Process
007 Fairgrounds	1460 Roof Replacement	007		10,000	10,000	0	0	In Process
007 Fairgrounds	1460 Replace Unit Entrance Doors	007		5,000	5,000	0	0	In Process
007 Fairgrounds	1460 Gutters and Downspouts	007		10,000	10,000	0	0	In Process
007 Fairgrounds	1465 Appliances	007		10,000	10,000	0	0	In Process
007 Fairgrounds	1470 Replace Boiler	007		10,000	10,000	0	0	In Process
009 Olesen Plaza	1406 CFP for Operations	009		50,402	30,000	30,000	7,159	In Process
009 Olesen Plaza	1408 Training Resident/Staff	009		3,936	3,936	0	0	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		6,298	6,298	0	0	In Process
009 Olesen Plaza	1408 Safety Program	009		413	413	0	0	In Process
009 Olesen Plaza	1408 Wellness Program	009		787	787	0	0	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,181	1,181	0	0	In Process
009 Olesen Plaza	1408 Marketing Plan	009		4,330	4,330	0	0	In Process
009 Olesen Plaza	1410 Management Fee	009		25,200	25,200	25,200	12,600	In Process
009 Olesen Plaza	1430 CFP Inspection	009		4,500	4,500	0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
009 Olesen Plaza	1460 Kitchen Prototype	009		15,000	10,000	0	0	In Process
009 Olesen Plaza	1460 Closet Door Replacement	009		10,000	10,000	0	0	In Process
009 Olesen Plaza	1460 Hallway Flooring	009		35,000	35,000	0	0	In Process
009 Olesen Plaza	1475 Patio Furniture	009		10,000	10,000	8,699	0	In Process
009 Olesen Plaza	1495 Relocation	009		0	5,000	5,000	0	In Process
0414 Low Rises	1406 CFP for Operations	0414		33,379	0	0	0	Complete
0414 Low Rises	1408 Training Resident/Staff	0414		2,607	2,607	0	0	In Process
0414 Low Rises	1408 Computer Software/Hardware	0414		4,171	4,171	0	0	In Process
0414 Low Rises	1408 Safety Program	0414		274	274	0	0	In Process
0414 Low Rises	1408 Wellness Program	0414		521	521	0	0	In Process
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		782	782	0	0	In Process
0414 Low Rises	1408 Marketing Plan	0414		2,868	2,868	0	0	In Process
0414 Low Rises	1410 Management Fee	0414		16,689	16,689	16,689	8,344	In Process
0414 Low Rises	1430 CFP Inspections	0414		3,500	1,500	0	0	In Process
0414 Low Rises	1460 Common Area Furniture	0414		20,000	20,000	0	0	In Process
0414 Low Rises	1495 Relocation	0414		0	2,000	2,000	0	In Process
020 SS West	1406 CFP for Operations	020		48,733	0	0	0	Complete
020 SS West	1408 Training Resident/Staff	020		3,806	3,806	0	0	In Process
020 SS West	1408 Computer Software/Hardware	020		6,090	6,090	0	0	In Process
020 SS West	1408 Safety Program	020		400	400	0	0	In Process
020 SS West	1408 Wellness Program	020		761	761	0	0	In Process
020 SS West	1408 Maintenance Tools & Equipment	020		1,142	1,142	0	0	In Process
020 SS West	1408 Marketing Plan	020		4,187	4,187	0	0	In Process
020 SS West	1410 Management Fee	020		24,366	24,366	24,366	12,183	In Process
020 SS West	1430 A&E Fees	020		5,000	5,000	0	0	In Process
020 SS West	1430 CFP Inspections	020		5,000	5,000	0	0	In Process
020 SS West	1460 Lead Paint Abatement	020		7,500	7,500	0	0	In Process
020 SS West	1460 Furnace Replacement	020		2,500	2,500	0	0	In Process
020 SS West	1460 Bathroom Ventilation	020		15,000	15,000	0	0	In Process
020 SS West	1460 016 Window Replacement	020		15,000	15,000	0	0	In Process
020 SS West	1460 Deck Replacement	020		10,000	10,000	0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 SS West	1460 Kitchen Cabinets	020		35,000	35,000	0	0	In Process
020 SS West	1460 Bathtub Surrounds/Faucets	020		15,000	15,000	0	0	In Process
020 SS West	1495 Relocation	020		0	7,000	7,000	0	In Process
021 SS East	1406 CFP for Operations	021		50,736	0	0	0	Complete
021 SS East	1408 Training Resident/Staff	021		3,962	3,962	0	0	In Process
021 SS East	1408 Computer Software/Hardware	021		6,340	6,340	0	0	In Process
021 SS East	1408 Safety Program	021		416	416	0	0	In Process
021 SS East	1408 Wellness Program	021		792	792	0	0	In Process
021 SS East	1408 Maintenance Tools & Equipment	021		1,189	1,189	0	0	In Process
021 SS East	1408 Marketing Plan	021		4,359	4,359	0	0	In Process
021 SS East	1410 Management Fee	021		25,367	25,367	25,367	12,683	In Process
021 SS East	1430 A&E Fees	021		5,000	5,000	0	0	In Process
021 SS East	1430 CFP Inspections	021		5,500	5,500	0	0	In Process
021 SS East	1460 Lead Paint Abatement	021		5,000	5,000	0	0	In Process
021 SS East	1460 Bathroom Ventilation	021		25,000	25,000	0	0	In Process
021 SS East	1460 Kitchen Cabinets	021		75,000	67,500	0	0	In Process
021 SS East	1460 016 Window Replacement	021		30,000	30,000	0	0	In Process
021 SS East	1460 Deck Replacement	021		10,000	10,000	0	0	In Process
021 SS East	1460 Bathtub Surrounds/Faucets	021		25,000	25,000	0	0	In Process
021 SS East	1460 Furnace Replacement	021		5,000	5,000	0	0	In Process
5152 Brewington Oaks	1406 CFP for Operations	5152		139,519	100,000	100,000	51,105	In Process
5152 Brewington Oaks	1408 Training Resident/Staff	5152		10,897	10,897	0	0	In Process
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		17,435	17,435	0	0	In Process
5152 Brewington Oaks	1408 Safety Program	5152		1,144	1,144	0	0	In Process
5152 Brewington Oaks	1408 Wellness Program	5152		2,179	2,179	0	0	In Process
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		3,269	3,269	0	0	In Process
5152 Brewington Oaks	1408 Marketing Plan	5152		11,986	11,986	0	0	In Process
5152 Brewington Oaks	1410 Management Fee	5152		69,767	69,767	69,767	34,883	In Process
All	Contingency	000		177,748	21,748	0	0	In Process
				3,201,022	3,201,022	2,191,028	597,880	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	07/14/12		07/14/14		
IL22-003 Orton Keyes	07/14/12		07/14/14		
IL22-0414 Park Terrace	07/14/12		07/14/14		
IL22-006 N. Main Manor	07/14/12		07/14/14		
IL22-007 Fairgrounds	07/14/12		07/14/14		
IL22-009 Olesen Plaza	07/14/12		07/14/14		
IL22-0414 Low Rises	07/14/12		07/14/14		
IL22-020 SS - West	07/14/12		07/14/14		
IL22-021 SS - East	07/14/12		07/14/14		
IL22-5152 Brewington	07/14/12		07/14/14		
PHA Wide	07/14/12		07/14/14		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

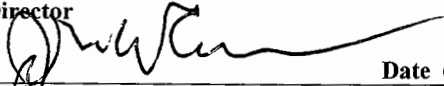
U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06R02250110 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010
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☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending: 3/31/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	13,568	13,568	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	122,112	122,112	0	0
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)			0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	135,680	135,680	0	0
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  John W. Cressman Date 6/20/11	Signature of Public Housing Director Date
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PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06R02250110 CFFP (Yes/ No): Replacement Housing Factor Grant No:	Federal FFY of Grant: 2010
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

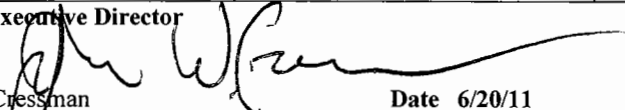
U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 03/31/11 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	642,789	516,420	516,420	516,420
3	1408 Management Improvements	190,131	166,709	71,264	71,134
4	1410 Administration (may not exceed 10% of line 21)	321,393	321,393	321,393	321,393
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	262,500	206,342	143,228	68,671
8	1440 Site Acquisition				
9	1450 Site Improvement	242,803	280,452	206,046	189,427
10	1460 Dwelling Structures	1,124,000	1,489,702	1,143,141	1,129,802
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	242,000	173,817	162,683	160,921
13	1475 Non-dwelling Equipment	11,000	49,046	49,046	46,772
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	25,000	6,567	4,537	4,537
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	152,343	3,511	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,213,959	3,213,959	2,617,758	2,509,077
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  John W. Cressman Date 6/20/11	Signature of Public Housing Director Date
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Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 CFP for Operations	001		65,687	150,000	150,000	150,000	Complete
001 Blackhawk	1408 Training Resident/Staff	001		2,555	2,554	770	770	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		5,825	5,899	1,889	1,889	In Process
001 Blackhawk	1408 Safety Program	001		536	537	55	55	In Process
001 Blackhawk	1408 Wellness Program	001		1,022	1,034	52	52	In Process
001 Blackhawk	1408 Resident Screening & Orientation	001		530	691	0	0	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,957	1,981	1,920	1,920	In Process
001 Blackhawk	1408 Marketing Plan	001		6,642	4,337	4,329	4,329	In Process
001 Blackhawk	1410 Management Fee	001		32,844	32,844	32,844	32,844	Complete
001 Blackhawk	1430 Physical Needs Assessment	001		10,000	7,455	7,455	0	In Process
001 Blackhawk	1450 Street Repairs	001		55,000	52,980	1,292	1,292	In Process
001 Blackhawk	1450 Sidewalk Repairs	001		10,000	10,000	0	0	In Process
001 Blackhawk	1460 Chimney Tuckpointing Repairs	001		10,000	4,325	4,325	4,325	Complete
001 Blackhawk	1460 Landscape Repairs	001		10,000	8,359	0	0	In Process
001 Blackhawk	1470 Daycare Roof Replacement/Shingle	001		12,000	5,430	5,430	5,430	Complete
003 Orton Keyes	1406 CFP for Operations	003		58,650	157,895	157,895	157,895	Complete
003 Orton Keyes	1408 Training Resident/Staff	003		2,281	2,281	770	770	In Process
003 Orton Keyes	1408 Computer Software/Hardware	003		5,200	5,267	3,685	3,685	In Process
003 Orton Keyes	1408 Safety Program	003		479	479	73	73	In Process
003 Orton Keyes	1408 Wellness Program	003		912	924	3	3	In Process
003 Orton Keyes	1408 Resident Screening & Orientation	003		470	617	0	0	In Process
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,747	1,769	0	0	In Process
003 Orton Keyes	1408 Marketing Plan	003		5,931	3,872	3,865	3,865	In Process
003 Orton Keyes	1410 Management Fee	003		29,324	29,324	29,324	29,324	Complete
003 Orton Keyes	1430 A & E Fees	003		45,000	19,991	4,522	4,522	In Process
003 Orton Keyes	1430 CFP Inspections	003		10,000	2,500	2,069	2,069	In Process
003 Orton Keyes	1430 Physical Needs Assessment	003		10,000	7,455	7,455	0	In Process
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000	52,430	52,430	52,430	Complete
003 Orton Keyes	1460 Stone Repair on First Floors	003		12,803	0	0	0	Complete
003 Orton Keyes	1460 Replace Porch Lights	003		30,000	30,000	0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1460 Entrance Doors & Hardware	003		15,000	13,085	229	0	In Process
003 Orton Keyes	1460 Window Prototype (Gain Inv)	003		30,000	0	0	0	Complete
003 Orton Keyes	1460 Furnace Replacement as needed	003		4,000	6,875	6,875	6,875	Complete
003 Orton Keyes	1460 Fire Alarm Equipment	003		0	2,185	2,185	2,185	Complete
003 Orton Keyes	1470 Paint Light Poles	003		0	13,850	13,850	13,850	Complete
003 Orton Keyes	1470 Head Start Roof Replacement	003		115,000	125,783	125,783	125,783	Complete
003 Orton Keyes	1470 Head Start Masonry	003		0	17,620	17,620	15,858	In Process
003 Orton Keyes	1470 Office/Shop Roof Replacement	003		115,000	11,134	0	0	In Process
003 Orton Keyes	1475 Office Masonry Repairs	003		11,000	0	0	0	Complete
0414 Park Terrace	1406 CFP for Operations	0414		61,330	95,469	95,469	95,469	Complete
0414 Park Terrace	1408 Training Resident/Staff	0414		2,385	2,385	605	605	In Process
0414 Park Terrace	1408 Computer Software/Hardware	0414		5,438	5,508	3,706	3,706	In Process
0414 Park Terrace	1408 Safety Program	0414		501	501	27	27	In Process
0414 Park Terrace	1408 Wellness Program	0414		954	966	2	2	In Process
0414 Park Terrace	1408 Resident Screening & Orientation	0414		818	645	0	0	In Process
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		1,827	1,850	0	0	In Process
0414 Park Terrace	1408 Marketing Plan	0414		6,202	4,050	4,042	4,042	In Process
0414 Park Terrace	1410 Management Fee	0414		30,665	30,665	30,665	30,665	Complete
0414 Park Terrace	1430 A & E Fees	0414		25,000	5,000	0	0	In Process
0414 Park Terrace	1430 CFP Inspections	0414		7,500	2,500	596	596	In Process
0414 Park Terrace	1430 Physical Needs Assessment	0414		20,000	7,455	7,455	0	In Process
0414 Park Terrace	1450 Landscape Improvements	0414		15,000	670	670	670	Complete
0414 Park Terrace	1460 Door Replacement/Shop	0414		5,000	423	423	423	Complete
0414 Park Terrace	1460 Entrance Access System	0414		280,000	0	0	0	Complete
0414 Park Terrace	1460 Upgrade Apartment Electric	0414		50,000	64,617	64,617	64,617	Complete
0414 Park Terrace	1460 First Floor Renovation	0414		0	88,632	88,632	88,632	Complete
0414 Park Terrace	1460 Weatherization	0414		0	69,740	69,740	69,740	Complete
0414 Park Terrace	1475 Non Dwelling Equipment	0414		0	25,897	25,897	24,987	In Process
0414 Park Terrace	1495 Relocation	0414		25,000	5,000	2,969	2,969	In Process
006 North Main	1406 CFP for Operations	006		62,671	0	0	0	Complete
006 North Main	1408 Training Resident/Staff	006		2,437	2,437	507	507	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1408 Computer Software/Hardware	006		5,557	5,628	502	502	In Process
006 North Main	1408 Safety Program	006		512	512	43	43	In Process
006 North Main	1408 Wellness Program	006		975	987	2	2	In Process
006 North Main	1408 Resident Screening & Orientation	006		470	660	0	0	In Process
006 North Main	1408 Maintenance Tools & Equipment	006		1,867	1,890	0	0	In Process
006 North Main	1408 Marketing Plan	006		6,337	4,138	4,130	4,130	In Process
006 North Main	1410 Management Fee	006		31,335	31,335	31,335	31,335	Complete
006 North Main	1430 A & E Fees	006		20,000	20,000	6,100	6,100	In Process
006 North Main	1430 CFP Inspections	006		3,750	1,250	67	67	In Process
006 North Main	1430 Physical Needs Assessment	006		10,000	7,455	7,455	0	In Process
006 North Main	1450 Site Impr/Parking Lots	006		0	1,449	1,449	1,449	Complete
006 North Main	1460 HVAC Replacement/1 st Floor	006		60,000	60,000	0	0	In Process
006 North Main	1460 Apartment Door Replacement	006		25,000	23,551	2,919	2,919	In Process
006 North Main	1460 Weatherization/Caulk & Paint	006		165,000	1,990	1,990	1,990	Complete
007 Fairgrounds	1406 CFP for Operations	007		70,379	55,745	55,745	55,745	Complete
007 Fairgrounds	1408 Training Resident/Staff	007		2,737	2,736	1,213	1,213	In Process
007 Fairgrounds	1408 Computer Software/Hardware	007		6,241	6,321	5,045	5,045	In Process
007 Fairgrounds	1408 Safety Program	007		575	575	80	80	In Process
007 Fairgrounds	1408 Wellness Program	007		1,095	1,108	3	3	In Process
007 Fairgrounds	1408 Resident Screening & Orientation	007		1,049	741	0	0	In Process
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,097	2,123	0	0	In Process
007 Fairgrounds	1408 Marketing Plan	007		7,117	4,647	4,639	4,639	In Process
007 Fairgrounds	1410 Management Fee	007		35,189	35,189	35,189	35,189	Complete
007 Fairgrounds	1430 A & E	007		0	500	500	500	Complete
007 Fairgrounds	1430 Physical Needs Assessment	007		7,500	0	0	0	Complete
007 Fairgrounds	1450 Sidewalk Repairs	007		10,000	10,000	6,020	3,040	In Process
007 Fairgrounds	1450 Street Repairs/Potholes	007		25,000	17,100	13,823	13,823	In Process
007 Fairgrounds	1450 Tree Trimming	007		0	7,900	7,900	7,900	Complete

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1460 Fire Alarm Upgrades	007		0	2,185	2,185	2,185	Complete
007 Fairgrounds	1460 Roof Replacement	007		10,000	10,000	0	0	In Process
007 Fairgrounds	1460 Gutters and Downspouts	007		10,000	7,815	0	0	In Process
009 Olesen Plaza	1406 CFP for Operations	009		50,606	0	0	0	Complete
009 Olesen Plaza	1408 Training Resident/Staff	009		1,968	1,968	507	507	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		4,487	4,545	1,224	1,224	In Process
009 Olesen Plaza	1408 Safety Program	009		413	413	41	41	In Process
009 Olesen Plaza	1408 Wellness Program	009		787	797	2	2	In Process
009 Olesen Plaza	1408 Resident Screening & Orientation	009		380	533	0	0	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,508	1,526	129	0	In Process
009 Olesen Plaza	1408 Marketing Plan	009		5,117	3,341	3,335	3,335	In Process
009 Olesen Plaza	1410 Management Fee	009		25,303	25,303	25,303	25,303	Complete
009 Olesen Plaza	1430 CFP Inspection	009		3,750	2,735	1,760	1,760	In Process
009 Olesen Plaza	1430 Physical Needs Assessment	009		10,000	7,455	7,455	0	In Process
009 Olesen Plaza	1450 Site Impr/Parking Lots	009		0	27,729	27,729	27,729	Complete
009 Olesen Plaza	1460 Kitchen Prototype	009		25,000	534	0	0	In Process
009 Olesen Plaza	1460 Closet Door Replacement	009		20,000	2,583	2,208	2,208	In Process
009 Olesen Plaza	1460 Hallway Flooring	009		30,000	23,740	8,975	8,975	In Process
009 Olesen Plaza	1460 First Floor Renovation	009		0	46,183	46,183	46,183	Complete
009 Olesen Plaza	1460 Weatherization	009		150,000	661,614	661,614	661,614	Complete
009 Olesen Plaza	1475 Non-Dwelling Equipment	009		0	14,996	14,996	14,996	Complete
009 Olesen Plaza	1495 Relocation	009		0	1,567	1,567	1,567	Complete
0414 Low Rises	1406 CFP for Operations	0414		33,514	49,011	49,011	49,011	Complete
0414 Low Rises	1408 Training Resident/Staff	0414		1,304	1,303	409	409	In Process
0414 Low Rises	1408 Computer Software/Hardware	0414		2,972	3,010	502	502	In Process
0414 Low Rises	1408 Safety Program	0414		274	274	44	44	In Process
0414 Low Rises	1408 Wellness Program	0414		521	528	2	2	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
0414 Low Rises	1408 Resident Screening & Orientation	0414		447	353	0	0	In Process
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		999	1,011	0	0	In Process
0414 Low Rises	1408 Marketing Plan	0414		3,389	2,213	2,209	2,209	In Process
0414 Low Rises	1410 Management Fee	0414		16,757	16,757	16,757	16,757	Complete
0414 Low Rises	1430 A & E	0414		0	40,008	40,008	40,008	Complete
0414 Low Rises	1430 CFP Inspections	0414		0	2,848	2,848	2,848	Complete
0414 Low Rises	1430 Physical Needs Assessment	0414		10,000	22,367	22,367	0	In Process
0414 Low Rises	1450 Site Improvements	0414		0	8,570	8,570	8,570	Complete
0414 Low Rises	1450 Site Improvements/Stimulus Overage	0414		0	1,760	1,760	1,760	Complete
0414 Low Rises	1460 Int Rehab/Fire Alarm Upgrades	0414		0	7,050	7,050	7,050	Complete
0414 Low Rises	1460 Int Rehab/Interior Renovations	0414		0	143,581	143,581	143,581	Complete
0414 Low Rises	1475 Non-Dwelling Equipment	0414		0	8,151	8,151	6,786	In Process
020 SS West	1406 CFP for Operations	020		48,930	8,300	8,300	8,300	Complete
020 SS West	1408 Training Resident/Staff	020		1,929	1,902	507	507	In Process
020 SS West	1408 Computer Software/Hardware	020		4,398	4,394	0	0	In Process
020 SS West	1408 Safety Program	020		405	400	52	52	In Process
020 SS West	1408 Wellness Program	020		772	770	9	9	In Process
020 SS West	1408 Resident Screening & Orientation	020		750	515	0	0	In Process
020 SS West	1408 Maintenance Tools & Equipment	020		1,478	1,476	0	0	In Process
020 SS West	1408 Marketing Plan	020		5,016	3,225	3,225	3,225	Complete
020 SS West	1410 Management Fee	020		24,465	24,465	24,465	24,465	Complete
020 SS West	1430 A&E Fees	020		10,000	9,500	0	0	In Process
020 SS West	1430 CFP Inspections	020		7,500	7,485	0	0	In Process
020 SS West	1430 Physical Needs Assessment	020		10,000	7,455	7,455	0	In Process
020 SS West	1450 Landscape Improvements	020		15,000	13,639	13,639	0	In Process
020 SS West	1450 Sealcoat Blacktop Driveways	020		15,000	461	0	0	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 SS West	1450 Sidewalk & Driveway Repairs	020		25,000	5,000	0	0	In Process
020 SS West	1460 Fire Alarm Upgrades	020		0	925	925	925	Complete
020 SS West	1460 Lead Paint Abatement	020		7,500	7,500	0	0	In Process
020 SS West	1460 08 Roof Replacement	020		10,000	85	0	0	In Process
020 SS West	1460 Furnace Replacement	020		2,500	2,500	0	0	In Process
020 SS West	1460 Sealcoat Basements/Block Filler	020		35,000	22,532	2,995	2,995	In Process
020 SS West	1460 Bathroom Ventilation	020		15,000	24,369	0	0	In Process
020 SS West	1460 016 Roof Replacement	020		10,000	9,750	0	0	In Process
020 SS West	1460 017 Window Replacement	020		5,000	5,250	5,250	5,250	Complete
020 SS West	1460 019 Window Replacement	020		5,000	388	388	388	Complete
020 SS West	1460 020 Window Replacement	020		5,000	0	0	0	Complete
020 SS West	1460 Replace Electrical Panels	020		0	8,708	8,708	1,841	In Process
021 SS East	1406 CFP for Operations	021		50,941	0	0	0	Complete
021 SS East	1408 Training Resident/Staff	021		1,955	1,980	507	507	In Process
021 SS East	1408 Computer Software/Hardware	021		5,201	4,575	502	502	In Process
021 SS East	1408 Safety Program	021		411	416	62	62	In Process
021 SS East	1408 Wellness Program	021		912	802	9	9	In Process
021 SS East	1408 Resident Screening & Orientation	021		750	536	0	0	In Process
021 SS East	1408 Maintenance Tools & Equipment	021		1,747	1,536	0	0	In Process
021 SS East	1408 Marketing Plan	021		5,931	3,357	3,357	3,357	Complete
021 SS East	1410 Management Fee	021		25,471	25,471	25,471	25,471	Complete
021 SS East	1430 A&E Fees	021		10,000	10,000	9,991	9,991	In Process
021 SS East	1430 CFP Inspections	021		7,500	7,464	205	205	In Process
021 SS East	1430 Physical Needs Assessment	021		15,000	7,455	7,455	0	In Process
021 SS East	1450 Seal Blacktop Drives	021		15,000	49,002	49,002	49,002	Complete
021 SS East	1450 Landscape Improvements	021		15,000	16,360	16,360	16,360	Complete
021 SS East	1450 Ramps/Sidewalks	021		0	5,400	5,400	5,400	Complete
021 SS East	1460 Fire Alarm Upgrades	021		0	925	925	925	Complete
021 SS East	1460 Lead Paint Abatement	021		5,000	3,640	0	0	In Process
021 SS East	1460 Sealcoat Basements/Block Filler	021		20,000	9,075	5,625	2,800	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
021 SS East	1460 Bathroom Ventilation	021		20,000	75,756	0	0	In Process
021 SS East	1460 08 Roof Replacement	021		10,000	10,000	360	360	In Process
021 SS East	1460 017 Roof Replacement	021		10,000	10,000	0	0	In Process
021 SS East	1460 017 Window Replacement	021		5,000	5,000	0	0	In Process
021 SS East	1460 019 Window Replacement	021		5,000	5,000	0	0	In Process
021 SS East	1460 Windows from Stimulus	021		0	812	812	812	Complete
021 SS East	1460 Furnace Replacement	021		5,000	5,000	0	0	In Process
021 SS East	1460 Replace Electrical Panels	021		0	3,416	3,416	0	In Process
5152 Brewington Oaks	1406 CFP for Operations	5152		140,081	0	0	0	Complete
5152 Brewington Oaks	1408 Training Resident/Staff	5152		5,448	5,454	391	391	In Process
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		12,422	12,591	2,916	2,916	In Process
5152 Brewington Oaks	1408 Safety Program	5152		1,144	1,140	98	98	In Process
5152 Brewington Oaks	1408 Wellness Program	5152		2,179	2,209	16	16	In Process
5152 Brewington Oaks	1408 Resident Screening & Orientation	5152		1,100	1,469	0	0	In Process
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		4,173	4,235	0	0	In Process
5152 Brewington Oaks	1408 Marketing Plan	5152		14,166	9,242	9,240	9,240	In Process
5152 Brewington Oaks	1410 Management Fee	5152		70,040	70,040	70,040	70,040	Complete
5152 Brewington Oaks	1430 CFP Inspections	5152		2,500	0	0	0	Complete
5152 Brewington Oaks	1430 Physical Needs Assessment	5152		7,500	0	0	0	Complete
5152 Brewington Oaks	1450 Sidewalks Repairs	5152		10,000	0	0	0	Complete
5152 Brewington Oaks	1460 Roof Repairs	5152		10,000	0	0	0	Complete
5152 Brewington Oaks	1460 Rubber Tile Floor Repairs	5152		10,000	0	0	0	Complete
All	Contingency	000		152,343	3,511	0	0	In Process
				3,213,959	3,213,959	2,617,758	2,509,077	

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	09/14/11		09/14/13		
IL22-003 Orton Keyes	09/14/11		09/14/13		
IL22-0414 Park Terrace	09/14/11		09/14/13		
IL22-006 N. Main Manor	09/14/11		09/14/13		
IL22-007 Fairgrounds	09/14/11		09/14/13		
IL22-009 Olesen Plaza	09/14/11		09/14/13		
IL22-0414 Low Rises	09/14/11		09/14/13		
IL22-020 SS - West	09/14/11		09/14/13		
IL22-021 SS - East	09/14/11		09/14/13		
IL22-5152 Brewington	09/14/11		09/14/13		
PHA Wide	09/14/11		09/14/13		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06R02250109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 3/31/11 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	13,590	13,590	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	122,313	122,313	0	0
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)			0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	135,903	135,903	0	0
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <div style="display: flex; justify-content: space-between; align-items: center;"> John W. Cressman </div>	Signature of Public Housing Director <div style="display: flex; justify-content: space-between; align-items: center;"> Date </div>
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Date 6/20/11

Federal FFY of Grant: 2009

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

[illegible]

form HUD-50075.1 (4/2008)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

form HUD-50075.1 (4/2008)

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06S02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
0414 Park Terrace	1410 Management Fee	0414		81,857	68,684	68,684	68,684	Complete
0414 Park Terrace	1430 A&E	0414		34,194	29,247	29,247	29,247	Complete
0414 Park Terrace	1430 Construction Inspections	0414		8,500	4,550	4,550	4,550	Complete
0414 Park Terrace	1450 Parking Lot Resurface & Restripe	0414		47,500	43,645	43,645	43,645	Complete
0414 Park Terrace	1460 1st Floor Renovation of Common Areas and Apartment	0414		200,000	546,955	546,955	546,955	Complete
0414 Park Terrace	1460 Weatherization of Exterior / Caulk and Paint	0414		175,000	0	0	0	Complete
006 North Main	1410 Management Fee	006		83,645	5,373	5,373	5,373	Complete
006 North Main	1430 A&E	006		4,240	3,372	3,372	3,372	Complete
006 North Main	1430 Construction Inspections	006		2,000	370	370	370	Complete
006 North Main	1450 Parking Lot Resurfacing & Restripe	006		46,734	45,105	45,105	45,105	Complete
009 Olesen Plaza	1410 Management Fee	009		67,544	42,991	42,991	42,991	Complete
009 Olesen Plaza	1430 A&E	009		22,240	26,497	26,497	26,497	Complete
009 Olesen Plaza	1430 Construction Inspections	009		4,500	3,113	3,113	3,113	Complete
009 Olesen Plaza	1450 Parking Lot Resurfacing & Restripe	009		48,500	88,750	88,750	88,750	Complete
009 Olesen Plaza	1450 Replace Concrete Approaches from Streets	009		25,000	0	0	0	Complete
009 Olesen Plaza	1460 1 st Floor Renovation of Common Areas and Apartment	009		200,000	272,467	272,467	272,467	Complete
0414 Low Rises	1410 Management Fee	0414		44,731	128,925	128,925	128,925	Complete
0414 Low Rises	1430 A&E	0414		74,880	96,600	96,600	96,600	Complete
0414 Low Rises	1430 Construction Inspections	0414		11,000	15,801	15,801	15,801	Complete
0414 Low Rises	1450 Parking Lot Resurfacing & Striping with new lighting	0414		141,000	405,213	405,213	405,213	Complete
0414 Low Rises	1460 Carpet In Common Areas	0414		70,000	0	0	0	Complete
0414 Low Rises	1460 Replace Roof On All 3 Low Rise Buildings	0414		200,000	173,953	173,953	173,953	Complete
0414 Low Rises	1460 Ext Renovation @ All Three Sites	0414		525,000	480,465	480,465	480,465	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2009				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 SS West	1410 Management Fee	020		65,755	48,503	48,503	48,503	Complete
020 SS West	1430 A&E	020		9,367	4,887	4,887	4,887	Complete
020 SS West	1430 Construction Inspections	020		8,500	5,325	5,325	5,325	Complete
020 SS West	1450 Replace Concrete/Asphalt /Walks Drives & Landscape	020		201,200	164,764	164,764	164,764	Complete
020 SS West	1460 Replace Windows	020		79,000	76,174	76,174	76,174	Complete
020 SS West	1460 Replace Siding	020		143,000	170,622	170,622	170,622	Complete
020 SS West	1460 Replace Entrance Doors	020		34,500	0	0	0	Complete
020 SS West	1460 Rebuild Wood Deck	020		5,000	0	0	0	Complete
020 SS West	1460 Replace Asphalt Shingles	020		34,000	27,279	27,279	27,279	Complete
021 SS East	1410 Management Fee	021		68,886	123,770	123,770	123,770	Complete
021 SS East	1430 A&E	021		20,733	25,212	25,212	25,212	Complete
021 SS East	1430 Construction Inspections	021		15,500	13,781	13,781	13,781	Complete
021 SS East	1450 Landscape Improvements	021		95,000	74,663	74,663	74,663	Complete
021 SS East	1450 Replace Driveways, Concrete/ Asphalt	021		332,000	333,081	333,081	333,081	Complete
021 SS East	1460 Upgrade Bathroom Ventilation	021		35,000	0	0	0	Complete
021 SS East	1460 Replace Siding	021		350,000	423,659	423,659	423,659	Complete
021 SS East	1460 Replace Kitchen Cabinets	021		115,500	0	0	0	Complete
021 SS East	1460 Replace Bath Surround/Faucet	021		55,000	0	0	0	Complete
021 SS East	1460 Replace Windows With Vinyl	021		173,180	122,854	122,854	122,854	Complete
021 SS East	1460 Replace Asphalt Shingles	021		140,000	85,812	85,812	85,812	Complete
223 Administration	1502 Contingency	223		83,281	0	0	0	Complete
				4,182,467	4,182,467	4,182,467	4,182,467	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Rockford Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-0414 Park Terrace	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-006 N. Main Manor	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-009 Olesen Plaza	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-0414 Low Rises	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-020 SS West	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-021 SS East	3/17/10	3/17/10	3/17/12	12/31/10	
IL22-223 Admin	3/17/10	3/17/10	3/17/12	12/31/10	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

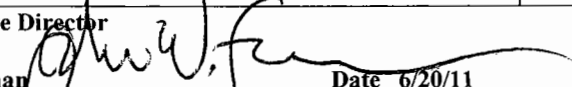
U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval: 2008
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☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 03/31/11 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	660,840	660,840	660,840	660,840
3	1408 Management Improvements	281,400	134,995	134,995	127,487
4	1410 Administration (may not exceed 10% of line 21)	330,420	330,420	330,420	330,420
5	1411 Audit	7,000	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	174,500	450,258	412,543	388,215
8	1440 Site Acquisition	35,000	15,845	15,845	15,845
9	1450 Site Improvement	295,000	143,141	143,141	142,131
10	1460 Dwelling Structures	1,310,000	1,481,423	1,481,423	1,481,423
11	1465.1 Dwelling Equipment—Nonexpendable	26,000	16,957	16,957	3,094
12	1470 Non-dwelling Structures	55,000	17,291	17,291	17,291
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	45,000	37,290	37,290	37,290
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	5,000	15,742	15,742	2,575
17	1499 Development Activities ⁴	0	0	0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	79,042	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,304,202	3,304,202	3,266,487	3,206,611
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	27,000			

Signature of Executive Director  John W. Cressman Date 6/20/11	Signature of Public Housing Director Date
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Part II: Supporting Pages								
PHA Name:		Grant Type and Number			Federal FFY of Grant: 2008			
Rockford Housing Authority		Capital Fund Program Grant No: IL06P02250108						
		CFFP (Yes/ No):						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 Mod for Operations	001		67,426	67,640	67,640	67,640	Complete
001 Blackhawk	1408 Security / Camera Replacement	001		5,000	6,016	6,016	6,016	Complete
001 Blackhawk	1408 Training/Staff Resident	001		1,021	1,393	1,393	1,393	Complete
001 Blackhawk	1408 Computer Upgrades	001		2,550	2,379	2,379	2,379	Complete
001 Blackhawk	1408 Safety & Employee Wellness	001		1,556	1,494	1,494	1,494	Complete
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,954	0	0	0	Complete
001 Blackhawk	1408 Marketing Plan	001		6,633	0	0	0	Complete
001 Blackhawk	1408 Upgrade Maint Fleet - Pick Up/Plow	001		25,000	25,668	25,668	25,668	Complete
001 Blackhawk	1410 Management Fee	001		0	32,301	32,301	32,301	Complete
001 Blackhawk	1430 A&E Consulting/Redev Activities	001		10,000	0	0	0	Complete
001 Blackhawk	1430 A&E - Energy Audit	001		0	5,611	5,611	5,611	Complete
001 Blackhawk	1430 CFP Inspections	001		3,000	54	54	54	Complete
001 Blackhawk	1440 Appraisals	001		7,500	0	0	0	Complete
001 Blackhawk	1450 Site Impr./Sidewalks, Stoops,Hndrls	001		50,000	41,408	41,408	41,408	Complete
001 Blackhawk	1450 Site Impr./Storm Drain Repairs	001		25,000	22,700	22,700	22,700	Complete
001 Blackhawk	1450 Site Impr/Tree Trimming	001		0	975	975	975	Complete
001 Blackhawk	1460 Exterior Rehab	001		0	0	0	0	Complete
001 Blackhawk	1475 Non-Dwelling Equipment	001		0	0	0	0	Complete
003 Orton Keyes	1406 Mod for Operations	003		60,202	227,786	227,786	227,786	Complete
003 Orton Keyes	1408 Security / Camera Replacement	003		5,000	4,168	4,168	4,168	Complete
003 Orton Keyes	1408 Training/Staff Resident	003		912	1,184	1,184	1,184	Complete
003 Orton Keyes	1408 Computer Upgrades	003		2,277	1,903	1,903	1,903	Complete
003 Orton Keyes	1408 Safety & Employee Wellness	003		1,389	1,063	1,063	1,063	Complete
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,745	0	0	0	Complete
003 Orton Keyes	1408 Marketing Plan	003		5,922	0	0	0	Complete
003 Orton Keyes	1410 Management Fee	003		0	28,840	28,840	28,840	Complete
003 Orton Keyes	1430 A&E	003		7,500	18,025	7,723	7,723	In Process
003 Orton Keyes	1430 Consulting	003		0	4,198	4,198	4,198	Complete
003 Orton Keyes	1430 A&E - Energy Audit	003		0	5,010	5,010	5,010	Complete
003 Orton Keyes	1430 CFP Inspections	003		5,000	1,027	1,027	1,027	Complete
003 Orton Keyes	1450 Site Impr/1 st Story Ext Stone Repair	003		50,000	66,000	66,000	66,000	Complete
003 Orton Keyes	1450 Site Impr/Sidewalk & Patio Replace	003		20,000	1,495	1,495	1,495	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1460 Ext Rehab / Fascia & Soffit Repairs	003		10,000	28,872	28,872	28,872	Complete
003 Orton Keyes	1460 Int Rehab/ADA Renovations	003		0	350	350	350	Complete
003 Orton Keyes	1465 Appliances	003		0	3,094	3,094	3,094	Complete
003 Orton Keyes	1470 ADA Bathrooms/Comm Room	003		0	4,662	4,662	4,662	Complete
003 Orton Keyes	1470 Non Dwelling/Off-Com Room Roof	003		25,000	11,915	11,915	11,915	Complete
003 Orton Keyes	1470 Non Dwelling/Head Start Roof	003		25,000	265	265	265	Complete
004 Park Terrace	1406 Mod for Operations	004		62,954	203,580	203,580	203,580	Complete
004 Park Terrace	1408 Security / Camera Replacement	004		2,500	0	0	0	Complete
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,568	0	0	0	Complete
004 Park Terrace	1408 Training/Staff Resident	004		953	896	896	896	Complete
004 Park Terrace	1408 Computer Upgrades	004		2,381	4,418	4,418	4,418	Complete
004 Park Terrace	1408 Safety & Employee Wellness	004		1,453	873	873	873	Complete
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,824	0	0	0	Complete
004 Park Terrace	1408 Marketing Plan	004		6,193	0	0	0	Complete
004 Park Terrace	1408 Upgrade Maint Fleet – Pickup/Plow	004		10,000	26,513	26,513	26,513	Complete
	Split with Lowrises							
004 Park Terrace	1410 Management Fee	004		0	30,158	30,158	30,158	Complete
004 Park Terrace	1430 A&E	004		15,000	25,272	25,272	25,272	Complete
004 Park Terrace	1430 A&E – Energy Audit	004		0	5,239	5,239	5,239	Complete
004 Park Terrace	1430 CFP Inspections	004		5,000	2,511	2,511	2,511	Complete
004 Park Terrace	1460 Int Rehab/Apt Door Hrdwr-closer	004		60,000	28,893	28,893	28,893	Complete
004 Park Terrace	1460 Weatherization	004		0	309,537	309,537	309,537	Complete
004 Park Terrace	1460 Int Rehab/Riser Replacement 8&9	004		340,000	20,207	20,207	20,207	Complete
004 Park Terrace	1460 First Floor Renovation	004		0	48,119	48,119	48,119	Complete
004 Park Terrace	1460 Asbestos Abatement	004		0	20,080	20,080	20,080	Complete
004 Park Terrace	1465 Non-Dwell Eq. Appliances for 8 & 9	004		26,000	13,862	13,862	0	In Process
004 Park Terrace	1495 Relocation	004		5,000	1,824	1,824	1,824	Complete
006 North Main	1406 Mod for Operations	006		64,330	0	0	0	Complete
006 North Main	1408 Security / Camera Replacement	006		2,500	0	0	0	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1408 Service Coordinators / Elderly	006		10,799	0	0	0	Complete
006 North Main	1408 Training/Staff Resident	006		974	855	855	855	Complete
006 North Main	1408 Computer Upgrades	006		2,433	1,602	1,602	1,602	Complete
006 North Main	1408 Safety & Employee Wellness	006		1,484	507	507	507	Complete
006 North Main	1408 Maintenance Tools & Equipment	006		1,864	0	0	0	Complete
006 North Main	1408 Marketing Plan	006		6,328	0	0	0	Complete
006 North Main	1410 Management Fee	006		0	30,817	30,817	30,817	Complete
006 North Main	1430 A&E	006		0	42,821	15,409	12,209	In Process
006 North Main	1430 A&E – Energy Audit	006		0	5,353	5,353	5,353	Complete
006 North Main	1430 CFP Inspections	006		2,000	0	0	0	Complete
006 North Main	1460 Int Rehab/Door Hardware-Closers	006		60,000	28,916	28,916	28,916	Complete
007 Fairgrounds	1406 Mod for Operations	007		72,242	1,095	1,095	1,095	Complete
007 Fairgrounds	1408 Security / Camera Replacement	007		5,000	12,683	12,683	5,175	In Process
007 Fairgrounds	1408 Training/Staff Resident	007		1,094	1,418	1,418	1,418	Complete
007 Fairgrounds	1408 Computer Upgrades	007		2,732	5,128	5,128	5,128	Complete
007 Fairgrounds	1408 Safety & Employee Wellness	007		1,667	1,981	1,981	1,981	Complete
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,094	0	0	0	Complete
007 Fairgrounds	1408 Marketing Plan	007		7,106	0	0	0	Complete
007 Fairgrounds	1410 Management Fee	007		0	34,608	34,608	34,608	Complete
007 Fairgrounds	1430 A & E	007		0	300	300	300	Complete
007 Fairgrounds	1430 A&E Consulting/Redev Activities	007		10,000	21,966	21,966	16,317	In Process
007 Fairgrounds	1430 A&E – Energy Audit	007		0	6,012	6,012	6,012	Complete
007 Fairgrounds	1430 CFP Inspections	007		2,000	253	253	253	Complete
007 Fairgrounds	1440 Appraisals	007		7,500	7,000	7,000	7,000	Complete
007 Fairgrounds	1450 Site Imp/Basement Windows-Dwnspt	007		10,000	23,495	23,495	23,495	Complete
007 Fairgrounds	1450 Site Imp/Parking Lot Sealcoat-	007		25,000	5,580	5,580	5,580	Complete
007 Fairgrounds	1460 Interior Rehab	007		0	4,333	4,333	4,333	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Rockford Housing Authority								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1470 Non-Dwelling/Office Carpet Replace	007		5,000	449	449	449	Complete
009 Olesen Plaza	1406 Mod for Operations	009		51,945	0	0	0	Complete
009 Olesen Plaza	1408 Security / Camera Replacement	009		2,500	0	0	0	Complete
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,720	0	0	0	Complete
009 Olesen Plaza	1408 Training/Staff Resident	009		787	630	630	630	Complete
009 Olesen Plaza	1408 Computer Upgrades	009		1,965	1,995	1,995	1,995	Complete
009 Olesen Plaza	1408 Safety & Employee Wellness	009		1,199	578	578	578	Complete
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,505	0	0	0	Complete
009 Olesen Plaza	1408 Marketing Plan	009		5,110	0	0	0	Complete
009 Olesen Plaza	1410 Management Fee	009		0	24,885	24,885	24,885	Complete
009 Olesen Plaza	1430 A&E	009		20,000	24,473	24,473	24,473	Complete
009 Olesen Plaza	1430 A&E – Energy Audit	009		0	4,323	4,323	4,323	Complete
009 Olesen Plaza	1430 CFP Inspections	009		6,000	1,141	1,141	1,141	Complete
009 Olesen Plaza	1460 Interior Rehab/Door Hrdwr Closers	009		0	28,917	28,917	28,917	Complete
009 Olesen Plaza	1460 Interior Rehab-Common Hall Floors	009		50,000	11,360	11,360	11,360	Complete
009 Olesen Plaza	1460 Exterior Rehab-Roof Replacement	009		130,000	216,311	216,311	216,311	Complete
014 Low Rises	1406 Mod for Operations	014		34,401	0	0	0	Complete
014 Low Rises	1408 Service Coordinators / Elderly	014		5,775	0	0	0	Complete
014 Low Rises	1408 Training/Staff Resident	014		521	635	635	635	Complete
014 Low Rises	1408 Computer Upgrades	014		1,301	1,301	1,301	1,301	Complete
014 Low Rises	1408 Safety & Employee Wellness	014		794	757	757	757	Complete
014 Low Rises	1408 Maintenance Tools & Equipment	014		997	0	0	0	Complete
014 Low Rises	1408 Marketing Plan	014		3,384	0	0	0	Complete
014 Low Rises	1408 Upgrade Maint Fleet – Pickup/Plow	014		10,000	0	0	0	Complete
	Split with Park Terrace							
014 Low Rises	1410 Management Fee	014		0	16,480	16,480	16,480	Complete
014 Low Rises	1430 A&E	014		12,000	357	357	357	Complete
014 Low Rises	1430 A&E – Energy Audit	014		0	2,863	2,863	2,863	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2008				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises	1430 CFP Inspections	014		14,000	1,861	1,861	1,861	Complete
014 Low Rises	1460 First Floor Renovation	014		0	294,162	294,162	294,162	Complete
014 Low Rises	1460 Interior Rehab-Hall Carpet/Common Area Furniture – All 3	014		70,000	0	0	0	Complete
014 Low Rises	1460 Interior Rehab-2 nd Story Laundry BB	014		10,000	12,380	12,380	12,380	Complete
014 Low Rises	1460 Interior Rehab-Trash Compactor-all 3	014		30,000	0	0	0	Complete
014 Low Rises	1460 Interior Rehab-Fire Alarm Upgrade - 3	014		155,000	0	0	0	Complete
014 Low Rises	1460 Interior Rehab-First Floor Baths	014		0	99,652	99,652	99,652	Complete
014 Low Rises	1460 Exterior Rehab/Exterior Renovations	014		0	37,152	37,152	37,152	Complete
014 Low Rises	1460 Exterior Rehab/Force Acct Work	014		0	91	91	91	Complete
020 Scattered Sites West	1406 Mod for Operations	020		50,569	61,700	61,700	61,700	Complete
020 Scattered Sites West	1408 Training/Staff Resident	020		766	1,136	1,136	1,136	Complete
020 Scattered Sites West	1408 Computer Upgrades	020		1,912	863	863	863	Complete
020 Scattered Sites West	1408 Safety & Employee Wellness	020		1,167	837	837	837	Complete
020 Scattered Sites West	1408 Maintenance Tools & Equipment	020		1,466	0	0	0	Complete
020 Scattered Sites West	1408 Marketing Plan	020		4,974	0	0	0	Complete
020 Scattered Sites West	1408 Upgrade Maint Fleet/Mgr Vehicle	020		7,500	13,528	13,528	13,528	Complete
020 Scattered Sites West	1410 Management Fee	020		0	24,225	24,225	24,225	Complete
020 Scattered Sites West	1430 A&E	020		0	1,459	1,459	1,459	Complete
020 Scattered Sites West	1430 Consulting/Redev Activities	020		10,000	1,500	1,500	0	In Process
020 Scattered Sites West	1430 A&E – Energy Audit	020		0	4,208	4,208	4,208	Complete
020 Scattered Sites West	1430 CFP Inspections	020		5,000	1,195	1,195	1,195	Complete
020 Scattered Sites West	1440 Appraisals	020		10,000	250	250	250	Complete
020 Scattered Sites West	1450 Site Improvements-Sidewalks & Drives	020		20,000	2,083	2,083	2,083	Complete
020 Scattered Sites West	1450 Site Improvements-Tree Removal	020		5,000	4,350	4,350	3,340	In Process
020 Scattered Sites West	1460 Int Rehab/Boiler Replacement	020		0	5,095	5,095	5,095	Complete
020 Scattered Sites West	1460 Exterior Rehab-Roofs (008)	020		165,000	60,639	60,639	60,639	Complete
020 Scattered Sites West	1460 Exterior Rehab - Windows	020		0	39,058	39,058	39,058	Complete
020 Scattered Sites West	1485 Demo/Latham, Loomis, N. Court	020		15,000	13,190	13,190	13,190	Complete
020 Scattered Sites West	1495 Relocation	020		0	6,500	6,500	750	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FFY of Grant: 2008			
Rockford Housing Authority		Capital Fund Program Grant No: IL06P02250108						
		CFFP (Yes/ No):						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
021 Scattered Sites East	1406 Mod for Operations	021		52,977	63,455	63,455	63,455	Complete
021 Scattered Sites East	1408 Training/Staff Resident	021		802	1,180	1,180	1,180	Complete
021 Scattered Sites East	1408 Computer Upgrades	021		2,004	1,575	1,575	1,575	Complete
021 Scattered Sites East	1408 Safety & Employee Wellness	021		1,223	1,190	1,190	1,190	Complete
021 Scattered Sites East	1408 Maintenance Tools & Equipment	021		1,535	0	0	0	Complete
021 Scattered Sites East	1408 Marketing Plan	021		5,211	0	0	0	Complete
021 Scattered Sites East	1408 Upgrade Maint Fleet/Mgr Vehicle	021		7,500	0	0	0	Complete
021 Scattered Sites East	1410 Management Fee	021		0	25,379	25,379	25,379	Complete
021 Scattered Sites East	1430 A&E	021		20,000	2,194	2,194	2,194	Complete
021 Scattered Sites East	1430 A&E-Consulting/Redev Activities	021		10,000	1,500	1,500	0	In Process
021 Scattered Sites East	1430 A&E – Energy Audit	021		0	4,409	4,409	4,409	Complete
021 Scattered Sites East	1430 CFP Inspections	021		5,000	2,164	2,164	2,164	Complete
021 Scattered Sites East	1440 Appraisals	021		10,000	500	500	500	Complete
021 Scattered Sites East	1450 Site Improvements-Sidewalks & Drives	021		20,000	0	0	0	Complete
021 Scattered Sites East	1450 Site Improvements-Tree Removal	021		5,000	5,000	5,000	5,000	Complete
021 Scattered Sites East	1460 Exterior Rehab-Roofs (008)	021		110,000	42,527	42,527	42,527	Complete
021 Scattered Sites East	1460 Exterior Rehab-Prototype Ren. (016)	021		75,000	0	0	0	Complete
021 Scattered Sites East	1460 Exterior Rehab-Windows	021		0	67,587	67,587	67,587	Complete
021 Scattered Sites East	1485 Demo/ 1405 Charles-214 Clara	021		30,000	24,100	24,100	24,100	Complete
021 Scattered Sites East	1495 Relocation	021		0	7,417	7,417	0	In Process
051 Jane Addams	1410 Management Fee	051		0	13,843	13,843	13,843	Complete
051 Jane Addams	1430 CFP Inspections	051		0	40	40	40	Complete
051 Jane Addams	1450 Site Improvements/Green Space	051		25,000	23,991	23,991	23,991	Complete
052 Brewington Oaks	1406 Mod for Operations	052		143,794	35,585	35,585	35,585	Complete
052 Brewington Oaks	1408 Security / Camera Replacement	052		2,500	0	0	0	Complete
052 Brewington Oaks	1408 Security/Micro wireless Camera Recorder	052		2,000	0	0	0	Complete
052 Brewington Oaks	1408 Service Coordinators / Elderly	052		24,138	0	0	0	Complete
052 Brewington Oaks	1408 Training/Staff Resident	052		2,170	1,725	1,725	1,725	Complete
052 Brewington Oaks	1408 Computer Upgrades	052		5,445	2,904	2,904	2,904	Complete
052 Brewington Oaks	1408 Safety & Employee Wellness	052		3,316	2,012	2,012	2,012	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	6/12/2010		6/12/2012		
IL22-003 Orton Keyes	6/12/2010		6/12/2012		
IL22-004 Park Terrace	6/12/2010		6/12/2012		
IL22-006 N. Main Manor	6/12/2010		6/12/2012		
IL22-007 Fairgrounds	6/12/2010		6/12/2012		
IL22-009 Olesen Plaza	6/12/2010		6/12/2012		
IL22-014 Low Rises	6/12/2010		6/12/2012		
IL22-020 SS - West	6/12/2010		6/12/2012		
IL22-021 SS - East	6/12/2010		6/12/2012		
IL22-051 Jane Addams	6/12/2010		6/12/2012		
IL22-052 Brewington Oaks	6/12/2010		6/12/2012		
PHA Wide	6/12/2010		6/12/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

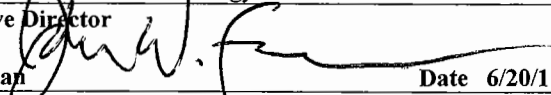
U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL02200000310R Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010
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☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 3/31/11 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	228,625	228,625	0	0
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	210,000	210,000	8,933	8,933
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	42,161	42,161	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	13,650	13,650	0	0
10	1460 Dwelling Structures	1,753,864	1,753,864	323,957	99,232
11	1465.1 Dwelling Equipment—Nonexpendable	80,325	80,325	75,719	0
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴			0	0
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)			0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	2,100,000	2,100,000	408,609	108,165
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  John W. Cressman Date 6/20/11	Signature of Public Housing Director Date
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL02200000310R CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	Total Non-CFP Funds (Leveraged Funds)			228,625	228,625	0	0	In Process
003 Orton Keyes	1410 Management Fee			210,000	210,000	8,933	8,933	In Process
003 Orton Keyes	1430 O&M Manual			10,000	10,000	0	0	In Process
003 Orton Keyes	1430 Post Retrofit Audit			32,161	32,161	0	0	In Process
003 Orton Keyes	1450 Install EE Common Area Lights			13,650	13,650	0	0	In Process
003 Orton Keyes	1460 Retrofit Existing or New Toilets			97,308	96,111	230	230	In Process
003 Orton Keyes	1460 Low Flow Shower Heads			16,362	57,427	57,427	44,984	In Process
003 Orton Keyes	1460 Low Flow Aerators			23,194	0	0	0	In Process
003 Orton Keyes	1460 Energy Star Efficient Furnaces			437,500	420,826	266,300	54,018	In Process
003 Orton Keyes	1460 Energy Saving Windows			1,179,500	1,179,500	0	0	In Process
003 Orton Keyes	1465 Energy Star EE Refrigerators			80,325	80,325	75,719	0	In Process
				2,100,000	2,100,000	408,609	108,165	

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² To be completed for the Performance and Evaluation Report.

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Expires 4/30/2011

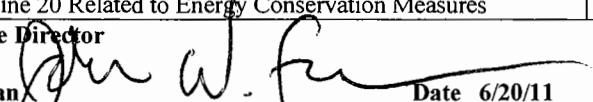
PHA Name: **Rockford Housing Authority**

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form HUD-50075.1 (4/2008)

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL02200000610R Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	170,000	170,000	0	0
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	170,000	170,000	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	83,457	83,457	0	0
8	1440 Site Acquisition	20,937	20,937	0	0
9	1450 Site Improvement				
10	1460 Dwelling Structures	827,858	827,858	0	0
11	1465.1 Dwelling Equipment—Nonexpendable	78,540	78,540	0	0
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	519,208	519,208	0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)			0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,700,000	1,700,000	0	0
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director  John W. Cressman Date 6/20/11		Signature of Public Housing Director Date			

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL02200000610R CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1410 Management Fee			170,000	170,000	0	0	In Process
006 North Main	1430 O & M Manual (Leveraged Funds \$10,000)			0	0	0	0	In Process
006 North Main	1430 Post Retrofit Energy Audit (Leveraged Funds \$10,000)			0	0	0	0	In Process
006 North Main	1430 A & E			83,457	83,457	0	0	In Process
006 North Main	1450 Install EE Common Area Lights			20,957	20,937	0	0	In Process
006 North Main	1460 Toilets			105,288	105,288	0	0	In Process
006 North Main	1460 Low Flow Aerators			22,410	22,410	0	0	In Process
006 North Main	1460 Tub Surrounds			589,423	589,423	0	0	In Process
006 North Main	1460 Energy Efficient Apt Lighting			110,737	110,737	0	0	In Process
006 North Main	1460 Apt Thermostats (Leveraged \$150,000)			0	0	0	0	In Process
006 North Main	1465 Energy Star Refrigerators			78,540	78,540	0	0	In Process
006 North Main	1475 Solar Panels			517,725	517,725	0	0	In Process
	1475 Vending Machine Controls			1,483	1,483	0	0	In Process
				1,700,000	1,700,000	0	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

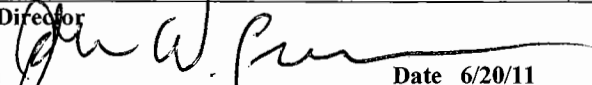
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Annual Statement/Performance and Evaluation Report
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Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06E02250110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	248,580	248,580	244,414	171,944
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	248,580	248,580	244,414	171,944
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director  John W. Cressman Date 6/20/11		Signature of Public Housing Director Date			

Annual Statement/Performance and Evaluation Report
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
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Exhibit 8.2 Capital Fund Program Five-Year Action Plan

PART I: SUMMARY						
PHA Name/Number: Rockford Housing Authority		Locality (City/County & State) Rockford, IL			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
	PHA Wide	Annual Statement				
	IL22-001 Blackhawk		45,000	35,000	35,000	25,000
	IL22-003 Orton Keyes		20,000	265,000	225,000	165,000
	IL22-0414 Park Terrace		630,000	642,598	666,600	715,000
	IL22-006 N. Main Manor		250,000	0	37,400	172,500
	IL22-007 Fairgrounds		30,000	0	30,000	42,500
	IL22-009 Olesen Plaza		25,000	105,000	70,200	15,000
	IL22-0414 Low Rises		55,000	15,000	15,000	75,000
	IL22-020 SS – West		45,000	25,000	100,000	70,000
	IL22-021 SS - East		70,000	40,000	116,648	73,348
	IL22-5152 Brewington Oaks		0	0	0	0
B.	Physical Improvements Subtotal		1,170,000	1,127,598	1,295,848	1,353,348
C.	Management Improvements		267,449	267,449	267,449	267,449
D.	PHA-Wide Non-dwelling Structures and Equipment		200,098	130,000	25,000	25,000
E.	Administration		262,259	262,259	262,259	262,259
F.	Other		68,696	61,272	88,414	30,914
G.	Operations		524,516	524,516	524,516	524,516
H.	Demolition			100,000		
I.	Development					
J.	Capital Fund Financing – Debt Service		129,573	149,497	159,105	159,105
K.	Total CFP Funds		2,622,591	2,622,591	2,622,591	2,622,591
L.	Total Non-CFP Funds					
M.	Grand Total		2,622,591	2,622,591	2,622,591	2,622,591

Capital Fund Program – Five-Year Action Plan

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year: 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Work Item	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE ANNUAL STATEMENT						
	IL22-001 Blackhawk	CFP Inspections	\$750	IL22-001 Blackhawk	CFP Inspections	\$750
	IL22-001 Blackhawk	Energy Audit	\$5,000	IL22-001 Blackhawk	Lead Paint Assessment	\$5,000
	IL22-001 Blackhawk	Street Repair	\$10,000	IL22-001 Blackhawk	Street Repairs	\$10,000
	IL22-001 Blackhawk	Sidewalk Repair	\$5,000	IL22-001 Blackhawk	Sidewalk Repairs	\$5,000
	IL22-001 Blackhawk	Landscape Repair	\$10,000	IL22-001 Blackhawk	Landscape Repairs	\$5,000
	IL22-001 Blackhawk	Roof Replacement	\$20,000	IL22-001 Blackhawk	Roof Replacement	\$15,000
	IL22-003 Orton Keyes	CFP Inspections	\$750	IL22-003 Orton Keyes	CFP Inspections	\$2,000
	IL22-003 Orton Keyes	Energy Audit	\$5,000	IL22-003 Orton Keyes	Sidewalk Repairs	\$10,000
	IL22-003 Orton Keyes	Entrance Doors & Hdwr	\$10,000	IL22-003 Orton Keyes	Landscape Repairs	\$10,000
	IL22-003 Orton Keyes	Storm Door Replacement	\$10,000	IL22-003 Orton Keyes	Entrance Doors & Hdwr	\$10,000
	IL22-003 Orton Keyes	Appliances	\$75,000	IL22-003 Orton Keyes	Storm Door Replacement	\$10,000
	IL22-0414 Park Terrace	A&E Fees	\$5,000	IL22-003 Orton Keyes	Electric Upgrades/Apts	\$75,000
	IL22-0414 Park Terrace	CFP Inspections	\$5,000	IL22-003 Orton Keyes	Siding	\$150,000
	IL22-0414 Park Terrace	Energy Audit	\$5,000	IL22-0414 Park Terrace	A&E Fees	\$10,000
	IL22-0414 Park Terrace	Asbestos Abatement	\$30,000	IL22-0414 Park Terrace	CFP Inspections	\$5,000
	IL22-0414 Park Terrace	Riser Replacement	\$600,000	IL22-0414 Park Terrace	Asbestos Abatement/Tile	\$30,000
	IL22-0414 Park Terrace	Appliances	\$25,000	IL22-0414 Park Terrace	Riser Replacement	\$492,598
	IL22-0414 Park Terrace	Relocation	\$15,000	IL22-0414 Park Terrace	Roof Replacement	120,000
	IL22-006 North Main	A&E	\$15,000	IL22-0414 Park Terrace	Appliances	\$25,000
	IL22-006 North Main	CFP Inspections	\$500	IL22-0414 Park Terrace	Relocation	\$10,000
	IL22-006 North Main	Energy Audit	\$5,000	IL22-006 North Main	Appliances	\$55,000
	IL22-006 North Main	Entrance Access System	\$250,000	IL22-007 Fairgrounds	Appraisals	\$7,500
	IL22-007 Fairgrounds	CFP Inspections	\$750	IL22-007 Fairgrounds	Demolition	\$100,000
	IL22-007 Fairgrounds	Sidewalk Repairs	\$10,000	IL22-009 Olesen Plaza	A&E Fees	\$10,000
	IL22-007 Fairgrounds	Roof Replacement	\$10,000	IL22-009 Olesen Plaza	CFP Inspections	\$1,000
	IL22-007 Fairgrounds	Gutters and Downspouts	\$10,000	IL22-009 Olesen Plaza	Kitchen Cabinets	\$15,000
	IL22-009 Olesen Plaza	CFP Inspections	\$1,000	IL22-009 Olesen Plaza	Closet Door Replacement	\$15,000
	IL22-009 Olesen Plaza	Energy Audit	\$5,000	IL22-009 Olesen Plaza	Danfoss Controls/Apts	\$75,000
	IL22-009 Olesen Plaza	Kitchen Cabinets	\$10,000			
	Subtotal of Estimated Cost		\$1,153,750	Subtotal of Estimated Cost		\$1,278,848

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Capital Fund Program – Five-Year Action Plan

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year: 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Work Item	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE ANNUAL STATEMENT	IL22-001 Blackhawk	CFP Inspections	\$750	IL22-001 Blackhawk	CFP Inspections	\$500
	IL22-001 Blackhawk	Physical Needs Assessment	\$10,000	IL22-001 Blackhawk	Lead Paint Assessment	\$5,000
	IL22-001 Blackhawk	Sidewalk Repairs	\$5,000	IL22-001 Blackhawk	Sidewalk Repair	\$10,000
	IL22-001 Blackhawk	Landscape Repairs	\$10,000	IL22-001 Blackhawk	Replace Smoke Detectors	\$15,000
	IL22-001 Blackhawk	Roof Replacement	\$20,000	IL22-003 Orton Keyes	CFP Inspections	\$750
	IL22-003 Orton Keyes	CFP Inspections	\$2,500	IL22-003 Orton Keyes	Replace Smoke Detectors	\$15,000
	IL22-003 Orton Keyes	Physical Needs Assessment	\$10,000	IL22-003 Orton Keyes	Siding	\$150,000
	IL22-003 Orton Keyes	Electrical Upgrades/Apts	\$75,000	IL22-0414 Park Terrace	A&E	\$10,000
	IL22-003 Orton Keyes	Siding	\$150,000	IL22-0414 Park Terrace	CFP Inspections	\$5,000
	IL22-0414 Park Terrace	A&E	\$5,000	IL22-0414 Park Terrace	Riser Replacement	\$600,000
	IL22-0414 Park Terrace	CFP Inspections	\$5,000	IL22-0414 Park Terrace	Replace Smoke Detectors	\$15,000
	IL22-0414 Park Terrace	Physical Needs Assessment	\$10,000	IL22-0414 Park Terrace	Sprinklers	\$100,000
	IL22-0414 Park Terrace	Asbestos Abatement	\$30,000	IL22-0414 Park Terrace	Appliances	\$25,000
	IL22-0414 Park Terrace	Riser Replacement	\$600,000	IL22-0414 Park Terrace	Relocation	\$15,000
	IL22-0414 Park Terrace	GFCI Replacement	\$36,600	IL22-006 North Main	A&E	\$7,500
	IL22-0414 Park Terrace	Appliances	\$25,000	IL22-006 North Main	CFP Inspections	\$500
	IL22-006 North Main	CFP Inspections	\$1,000	IL22-006 North Main	Replace Smoke Detectors	\$15,000
	IL22-006 North Main	Physical Needs Assessment	\$10,000	IL22-006 North Main	Danfoss Apt Controls	\$50,000
	IL22-006 North Main	GFCI Replacement	\$37,400	IL22-006 North Main	Sprinklers	\$100,000
	IL22-007 Fairgrounds	CFP Inspections	\$750	IL22-007 Fairgrounds	CFP Inspections	\$750
	IL22-007 Fairgrounds	Physical Needs Assessment	\$7,500	IL22-007 Fairgrounds	Appraisals	\$7,500
	IL22-007 Fairgrounds	Sidewalk Repair	\$10,000	IL22-007 Fairgrounds	Sidewalk Repair	\$7,500
	IL22-007 Fairgrounds	Roof Replacement	\$10,000	IL22-007 Fairgrounds	Roof Replacement	\$7,500
	IL22-007 Fairgrounds	Gutters & Downspouts	\$10,000	IL22-007 Fairgrounds	Replace Smoke Detectors	\$20,000
	IL22-009 Olesen Plaza	CFP Inspections	\$500	IL22-007 Fairgrounds	Gutters & Downspouts	\$7,500
	IL22-009 Olesen Plaza	Physical Needs Assessment	\$10,000	IL22-009 Olesen Plaza	CFP Inspections	\$500
	IL22-009 Olesen Plaza	Sealcoat/Stripe Lot	\$10,000	IL22-009 Olesen Plaza	Replace Smoke Detectors	\$15,000
	IL22-009 Olesen Plaza	Kitchen Cabinets	\$15,000	IL22-0414 Low Rises	CFP Inspections	\$500
	IL22-009 Olesen Plaza	Closet Door Replace.	\$15,000	IL22-0414 Low Rises	Closet Door Replacement	\$15,000
	IL22-009 Olesen Plaza	GFCI Replacement	\$30,200	IL22-0414 Low Rises	Replace Smoke Detector	\$10,000
	Subtotal of Estimated Cost		\$1,162,200	Subtotal of Estimated Cost		\$1,231,000

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Capital Fund Program – Five-Year Action Plan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year: 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEC. 104 ANNUAL STATEMENT	IL22-001 Blackhawk Capital Fund for Operations	\$43,600	IL22-001 Blackhawk Capital Fund for Operations	\$43,600
	IL22-001 Blackhawk Training/Staff & Resident	\$5,109	IL22-001 Blackhawk Training/Staff & Resident	\$5,109
	IL22-001 Blackhawk Computer Soft/Hardware	\$8,175	IL22-001 Blackhawk Computer Soft/Hardware	\$8,175
	IL22-001 Blackhawk Safety Program	\$536	IL22-001 Blackhawk Safety Program	\$536
	IL22-001 Blackhawk Wellness Program	\$511	IL22-001 Blackhawk Wellness Program	\$511
	IL22-001 Blackhawk Maintenance Tools/Eq	\$1,533	IL22-001 Blackhawk Maintenance Tools/Eq	\$1,533
	IL22-001 Blackhawk Marketing Plan	\$5,620	IL22-001 Blackhawk Marketing Plan	\$5,620
	IL22-001 Blackhawk Section 3 Coordinator	\$5,845	IL22-001 Blackhawk Section 3 Coordinator	\$5,845
	IL22-001 Blackhawk Management Fee	\$26,801	IL22-001 Blackhawk Management Fee	\$26,801
	IL22-003 Orton Keyes Capital Fund for Operations	\$37,857	IL22-003 Orton Keyes Capital Fund for Operations	\$37,857
	IL22-003 Orton Keyes Training/Staff & Resident	\$4,562	IL22-003 Orton Keyes Training/Staff & Resident	\$4,562
	IL22-003 Orton Keyes Computer Soft/Hardware	\$7,299	IL22-003 Orton Keyes Computer Soft/Hardware	\$7,299
	IL22-003 Orton Keyes Safety Program	\$479	IL22-003 Orton Keyes Safety Program	\$479
	IL22-003 Orton Keyes Wellness Program	\$456	IL22-003 Orton Keyes Wellness Program	\$456
	IL22-003 Orton Keyes Maintenance Tools/Eq.	\$1,369	IL22-003 Orton Keyes Maintenance Tools/Eq.	\$1,369
	IL22-003 Orton Keyes Marketing Plan	\$5,018	IL22-003 Orton Keyes Marketing Plan	\$5,018
	IL22-003 Orton Keyes Section 3 Coordinator	\$5,219	IL22-003 Orton Keyes Section 3 Coordinator	\$5,219
	IL22-003 Orton Keyes Management Fee	\$23,930	IL22-003 Orton Keyes Management Fee	\$23,930
	IL22-0414 Park Terrace Capital Fund for Operations	\$40,045	IL22-0414 Park Terrace Capital Fund for Operations	\$40,045
	IL22-0414 Park Terrace Training/Staff & Resident	\$4,771	IL22-0414 Park Terrace Training/Staff & Resident	\$4,771
	IL22-0414 Park Terrace Computer Soft/Hardware	\$7,633	IL22-0414 Park Terrace Computer Soft/Hardware	\$7,633
	IL22-0414 Park Terrace Safety Program	\$501	IL22-0414 Park Terrace Safety Program	\$501
	IL22-0414 Park Terrace Wellness Program	\$477	IL22-0414 Park Terrace Wellness Program	\$477
	IL22-0414 Park Terrace Maintenance Tools/Eq.	\$1,431	IL22-0414 Park Terrace Maintenance Tools/Eq.	\$1,431
	IL22-0414 Park Terrace Marketing Plan	\$5,248	IL22-0414 Park Terrace Marketing Plan	\$5,248
	IL22-0414 Park Terrace Section 3 Coordinator	\$5,458	IL22-0414 Park Terrace Section 3 Coordinator	\$5,458
	IL22-0414 Park Terrace Management Fee	\$25,023	IL22-0414 Park Terrace Management Fee	\$25,023
	IL22-006 North Main Capital Fund for Operations	\$41,139	IL22-006 North Main Capital Fund for Operations	\$41,139
	IL22-006 North Main Training/Staff & Resident	\$4,875	IL22-006 North Main Training/Staff & Resident	\$4,875
	IL22-006 North Main Computer Soft/Hardware	\$7,800	IL22-006 North Main Computer Soft/Hardware	\$7,800
	IL22-006 North Main Safety Program	\$512	IL22-006 North Main Safety	\$512
	IL22-006 North Main Wellness Program	\$487	IL22-006 North Main Wellness	\$487
	IL22-006 North Main Maintenance Tools/Eq.	\$1,462	IL22-006 North Main Maintenance Tools/Eq.	\$1,462
	Subtotal of Estimated Cost	\$330,781	Subtotal of Estimated Cost	\$330,781

Capital Fund Program – Five-Year Action Plan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year: 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE ANNUAL STATEMENT	IL22-006 North Main Marketing Plan	\$5,362	IL22-006 North Main Marketing Plan	\$5,362
	IL22-006 North Main Section 3 Coordinator	\$5,577	IL22-006 North Main Section 3 Coordinator	\$5,577
	IL22-006 North Main Management Fee	\$25,570	IL22-006 North Main Management Fee	\$25,570
	IL22-007 Fairgrounds CFP for Operations	\$47,856	IL22-007 Fairgrounds CFP for Operations	\$47,856
	IL22-007 Fairgrounds Training/Staff & Resident	\$5,474	IL22-007 Fairgrounds Training/Staff & Resident	\$5,474
	IL22-007 Fairgrounds Computer Hard/Software	\$8,759	IL22-007 Fairgrounds Computer Hard/Software	\$8,759
	IL22-007 Fairgrounds Safety Program	\$575	IL22-007 Fairgrounds Safety Program	\$575
	IL22-007 Fairgrounds Wellness Program	\$547	IL22-007 Fairgrounds Wellness Program	\$547
	IL22-007 Fairgrounds Maintenance Tools/Eq.	\$1,642	IL22-007 Fairgrounds Maintenance Tools/Eq.	\$1,642
	IL22-007 Fairgrounds Marketing Plan	\$6,022	IL22-007 Fairgrounds Marketing Plan	\$6,022
	IL22-007 Fairgrounds Section 3 Coordinator	\$6,263	IL22-007 Fairgrounds Section 3 Coordinator	\$6,263
	IL22-007 Fairgrounds Management Fee	\$28,715	IL22-007 Fairgrounds Management Fee	\$28,715
	IL22-009 Olesen Capital Fund for Operations	\$41,294	IL22-009 Olesen Capital Fund for Operations	\$41,294
	IL22-009 Olesen Training/Staff & Resident	\$3,936	IL22-009 Olesen Training/Staff & Resident	\$3,936
	IL22-009 Olesen Computer Hard/Software	\$6,298	IL22-009 Olesen Computer Hard/Software	\$6,298
	IL22-009 Olesen Safety Program	\$413	IL22-009 Olesen Safety Program	\$413
	IL22-009 Olesen Wellness Program	\$394	IL22-009 Olesen Wellness Program	\$394
	IL22-009 Olesen Maintenance Tools/Eq/	\$1,181	IL22-009 Olesen Maintenance Tools/Eq/	\$1,181
	IL22-009 Olesen Marketing Plan	\$4,330	IL22-009 Olesen Marketing Plan	\$4,330
	IL22-009 Olesen Section 3 Coordinator	\$4,503	IL22-009 Olesen Section 3 Coordinator	\$4,503
	IL22-009 Olesen Management Fee	\$20,648	IL22-009 Olesen Management Fee	\$20,648
	IL22-0414 Low Rises Capital Fund for Operations	\$27,347	IL22-0414 Low Rises Capital Fund for Operations	\$27,347
	IL22-0414 Low Rises Training/Staff & Resident	\$2,607	IL22-0414 Low Rises Training/Staff & Resident	\$2,607
	IL22-0414 Low Rises Computer Hard/Software	\$4,171	IL22-0414 Low Rises Computer Hard/Software	\$4,171
	IL22-0414 Low Rises Safety Program	\$274	IL22-0414 Low Rises Safety Program	\$274
	IL22-0414 Low Rises Wellness Program	\$261	IL22-0414 Low Rises Wellness Program	\$261
	IL22-0414 Low Rises Maintenance Tools/Eq.	\$782	IL22-0414 Low Rises Maintenance Tools/Eq.	\$782
	IL22-0414 Low Rises Marketing Plan	\$2,868	IL22-0414 Low Rises Marketing Plan	\$2,868
	IL22-0414 Low Rises Section 3 Coordinator	\$2,982	IL22-0414 Low Rises Section 3 Coordinator	\$2,982
	IL22-0414 Low Rises Management Fee	\$13,674	IL22-0414 Low Rises Management Fee	\$13,674
	IL22-020 SS West Capital Fund for Operations	\$39,927	IL22-020 SS West Capital Fund for Operations	\$39,927
	IL22-020 SS West Training/Staff & Resident	\$3,806	IL22-020 SS West Training/Staff & Resident	\$3,806
	Subtotal of Estimated Cost	\$323,788	Subtotal of Estimated Cost	\$323,788

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Capital Fund Program – Five-Year Action Plan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year: 5 FFY 2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE ANNUAL STATEMENT	IL22-001 Blackhawk Capital Fund for Operations	\$43,600	IL22-001 Blackhawk Capital Fund for Operations	\$43,600
	IL22-001 Blackhawk Training/Staff & Resident	\$5,109	IL22-001 Blackhawk Training/Staff & Resident	\$5,109
	IL22-001 Blackhawk Computer Soft/Hardware	\$8,175	IL22-001 Blackhawk Computer Soft/Hardware	\$8,175
	IL22-001 Blackhawk Safety Program	\$536	IL22-001 Blackhawk Safety Program	\$536
	IL22-001 Blackhawk Wellness Program	\$511	IL22-001 Blackhawk Wellness Program	\$511
	IL22-001 Blackhawk Maintenance Tools/Eq	\$1,533	IL22-001 Blackhawk Maintenance Tools/Eq	\$1,533
	IL22-001 Blackhawk Marketing Plan	\$5,620	IL22-001 Blackhawk Marketing Plan	\$5,620
	IL22-001 Blackhawk Section 3 Coordinator	\$5,845	IL22-001 Blackhawk Section 3 Coordinator	\$5,845
	IL22-001 Blackhawk Management Fee	\$26,801	IL22-001 Blackhawk Management Fee	\$26,801
	IL22-003 Orton Keyes Capital Fund for Operations	\$37,857	IL22-003 Orton Keyes Capital Fund for Operations	\$37,857
	IL22-003 Orton Keyes Training/Staff & Resident	\$4,562	IL22-003 Orton Keyes Training/Staff & Resident	\$4,562
	IL22-003 Orton Keyes Computer Soft/Hardware	\$7,299	IL22-003 Orton Keyes Computer Soft/Hardware	\$7,299
	IL22-003 Orton Keyes Safety Program	\$479	IL22-003 Orton Keyes Safety Program	\$479
	IL22-003 Orton Keyes Wellness Program	\$456	IL22-003 Orton Keyes Wellness Program	\$456
	IL22-003 Orton Keyes Maintenance Tools/Eq.	\$1,369	IL22-003 Orton Keyes Maintenance Tools/Eq.	\$1,369
	IL22-003 Orton Keyes Marketing Plan	\$5,018	IL22-003 Orton Keyes Marketing Plan	\$5,018
	IL22-003 Orton Keyes Section 3 Coordinator	\$5,219	IL22-003 Orton Keyes Section 3 Coordinator	\$5,219
	IL22-003 Orton Keyes Management Fee	\$23,930	IL22-003 Orton Keyes Management Fee	\$23,930
	IL22-0414 Park Terrace Capital Fund for Operations	\$40,045	IL22-0414 Park Terrace Capital Fund for Operations	\$40,045
	IL22-0414 Park Terrace Training/Staff & Resident	\$4,771	IL22-0414 Park Terrace Training/Staff & Resident	\$4,771
	IL22-0414 Park Terrace Computer Soft/Hardware	\$7,633	IL22-0414 Park Terrace Computer Soft/Hardware	\$7,633
	IL22-0414 Park Terrace Safety Program	\$501	IL22-0414 Park Terrace Safety Program	\$501
	IL22-0414 Park Terrace Wellness Program	\$477	IL22-0414 Park Terrace Wellness Program	\$477
	IL22-0414 Park Terrace Maintenance Tools/Eq.	\$1,431	IL22-0414 Park Terrace Maintenance Tools/Eq.	\$1,431
	IL22-0414 Park Terrace Marketing Plan	\$5,248	IL22-0414 Park Terrace Marketing Plan	\$5,248
	IL22-0414 Park Terrace Section 3 Coordinator	\$5,458	IL22-0414 Park Terrace Section 3 Coordinator	\$5,458
	IL22-0414 Park Terrace Management Fee	\$25,023	IL22-0414 Park Terrace Management Fee	\$25,023
	IL22-006 North Main Capital Fund for Operations	\$41,139	IL22-006 North Main Capital Fund for Operations	\$41,139
	IL22-006 North Main Training/Staff & Resident	\$4,875	IL22-006 North Main Training/Staff & Resident	\$4,875
	IL22-006 North Main Computer Soft/Hardware	\$7,800	IL22-006 North Main Computer Soft/Hardware	\$7,800
	IL22-006 North Main Safety Program	\$512	IL22-006 North Main Safety	\$512
	IL22-006 North Main Wellness Program	\$487	IL22-006 North Main Wellness	\$487
	IL22-006 North Main Maintenance Tools/Eq.	\$1,462	IL22-006 North Main Maintenance Tools/Eq.	\$1,462
	Subtotal of Estimated Cost	\$330,781	Subtotal of Estimated Cost	\$330,781

Capital Fund Program – Five-Year Action Plan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year: 4 FFY 2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
Section Annual Statement	IL22-006 North Main Marketing Plan	\$5,362	IL22-006 North Main Marketing Plan	\$5,362
	IL22-006 North Main Section 3 Coordinator	\$5,577	IL22-006 North Main Section 3 Coordinator	\$5,577
	IL22-006 North Main Management Fee	\$25,570	IL22-006 North Main Management Fee	\$25,570
	IL22-007 Fairgrounds CFP for Operations	\$47,856	IL22-007 Fairgrounds CFP for Operations	\$47,856
	IL22-007 Fairgrounds Training/Staff & Resident	\$5,474	IL22-007 Fairgrounds Training/Staff & Resident	\$5,474
	IL22-007 Fairgrounds Computer Hard/Software	\$8,759	IL22-007 Fairgrounds Computer Hard/Software	\$8,759
	IL22-007 Fairgrounds Safety Program	\$575	IL22-007 Fairgrounds Safety Program	\$575
	IL22-007 Fairgrounds Wellness Program	\$547	IL22-007 Fairgrounds Wellness Program	\$547
	IL22-007 Fairgrounds Maintenance Tools/Eq.	\$1,642	IL22-007 Fairgrounds Maintenance Tools/Eq.	\$1,642
	IL22-007 Fairgrounds Marketing Plan	\$6,022	IL22-007 Fairgrounds Marketing Plan	\$6,022
	IL22-007 Fairgrounds Section 3 Coordinator	\$6,263	IL22-007 Fairgrounds Section 3 Coordinator	\$6,263
	IL22-007 Fairgrounds Management Fee	\$28,715	IL22-007 Fairgrounds Management Fee	\$28,715
	IL22-009 Olesen Capital Fund for Operations	\$41,294	IL22-009 Olesen Capital Fund for Operations	\$41,294
	IL22-009 Olesen Training/Staff & Resident	\$3,936	IL22-009 Olesen Training/Staff & Resident	\$3,936
	IL22-009 Olesen Computer Hard/Software	\$6,298	IL22-009 Olesen Computer Hard/Software	\$6,298
	IL22-009 Olesen Safety Program	\$413	IL22-009 Olesen Safety Program	\$413
	IL22-009 Olesen Wellness Program	\$394	IL22-009 Olesen Wellness Program	\$394
	IL22-009 Olesen Maintenance Tools/Eq/	\$1,181	IL22-009 Olesen Maintenance Tools/Eq/	\$1,181
	IL22-009 Olesen Marketing Plan	\$4,330	IL22-009 Olesen Marketing Plan	\$4,330
	IL22-009 Olesen Section 3 Coordinator	\$4,503	IL22-009 Olesen Section 3 Coordinator	\$4,503
	IL22-009 Olesen Management Fee	\$20,648	IL22-009 Olesen Management Fee	\$20,648
	IL22-0414 Low Rises Capital Fund for Operations	\$27,347	IL22-0414 Low Rises Capital Fund for Operations	\$27,347
	IL22-0414 Low Rises Training/Staff & Resident	\$2,607	IL22-0414 Low Rises Training/Staff & Resident	\$2,607
	IL22-0414 Low Rises Computer Hard/Software	\$4,171	IL22-0414 Low Rises Computer Hard/Software	\$4,171
	IL22-0414 Low Rises Safety Program	\$274	IL22-0414 Low Rises Safety Program	\$274
	IL22-0414 Low Rises Wellness Program	\$261	IL22-0414 Low Rises Wellness Program	\$261
	IL22-0414 Low Rises Maintenance Tools/Eq.	\$782	IL22-0414 Low Rises Maintenance Tools/Eq.	\$782
	IL22-0414 Low Rises Marketing Plan	\$2,868	IL22-0414 Low Rises Marketing Plan	\$2,868
	IL22-0414 Low Rises Section 3 Coordinator	\$2,982	IL22-0414 Low Rises Section 3 Coordinator	\$2,982
	IL22-0414 Low Rises Management Fee	\$13,674	IL22-0414 Low Rises Management Fee	\$13,674
	IL22-020 SS West Capital Fund for Operations	\$39,927	IL22-020 SS West Capital Fund for Operations	\$39,927
	IL22-020 SS West Training/Staff & Resident	\$3,806	IL22-020 SS West Training/Staff & Resident	\$3,806
	Subtotal of Estimated Cost	\$323,788	Subtotal of Estimated Cost	\$323,788

Capital Fund Program – Five-Year Action Plan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year: 5 FFY 2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
OFF-ANNUAL STATEMENT	IL22-020 SS West Computer Hard/Software	\$6,090	IL22-020 SS West Computer Hard/Software	\$6,090
	IL22-020 SS West Safety Program	\$400	IL22-020 SS West Safety Program	\$400
	IL22-020 SS West Wellness Program	\$381	IL22-020 SS West Wellness Program	\$381
	IL22-020 SS West Maintenance Tools/Eq.	\$1,142	IL22-020 SS West Maintenance Tools/Eq.	\$1,142
	IL22-020 SS West Marketing Plan	\$4,187	IL22-020 SS West Marketing Plan	\$4,187
	IL22-020 SS West Section 3 Coordinator	\$4,354	IL22-020 SS West Section 3 Coordinator	\$4,354
	IL22-020 SS West Management Fee	\$19,965	IL22-020 SS West Management Fee	\$19,965
	IL22-021 SS East Capital Fund for Operations	\$41,567	IL22-021 SS East Capital Fund for Operations	\$41,567
	IL22-021 SS East Training/Staff & Resident	\$3,962	IL22-021 SS East Training/Staff & Resident	\$3,962
	IL22-021 SS East Computer Hard/Software	\$6,340	IL22-021 SS East Computer Hard/Software	\$6,340
	IL22-021 SS East Safety Program	\$416	IL22-021 SS East Safety Program	\$416
	IL22-021 SS East Wellness Program	\$396	IL22-021 SS East Wellness Program	\$396
	IL22-021 SS East Maintenance Tools/Eq.	\$1,189	IL22-021 SS East Maintenance Tools/Eq.	\$1,189
	IL22-021 SS East Marketing Plan	\$4,359	IL22-021 SS East Marketing Plan	\$4,359
	IL22-021 SS East Section 3 Coordinator	\$4,533	IL22-021 SS East Section 3 Coordinator	\$4,533
	IL22-021 SS East Management Fee	\$20,784	IL22-021 SS East Management Fee	\$20,784
	IL22-5152 Brewington Capital Fund for Operations	\$114,311	IL22-5152 Brewington Capital Fund for Operations	\$114,311
	IL22-5152 Brewington Training/Staff & Resident	\$10,897	IL22-5152 Brewington Training/Staff & Resident	\$10,897
	IL22-5152 Brewington Computer Soft/Hardware	\$17,435	IL22-5152 Brewington Computer Soft/Hardware	\$17,435
	IL22-5152 Brewington Safety Program	\$1,144	IL22-5152 Brewington Safety Program	\$1,144
	IL22-5152 Brewington Wellness Program	\$1,090	IL22-5152 Brewington Wellness Program	\$1,090
	IL22-5152 Brewington Maintenance Tools/Eq.	\$3,269	IL22-5152 Brewington Maintenance Tools/Eq.	\$3,269
	IL22-5152 Brewington Marketing Plan	\$11,986	IL22-5152 Brewington Marketing Plan	\$11,986
	IL22-5152 Brewington Section 3 Coordinator	\$12,466	IL22-5152 Brewington Section 3 Coordinator	\$12,466
	IL22-5152 Brewington Management Fee	\$57,149	IL22-5152 Brewington Management Fee	\$57,149
	Subtotal of Estimated Cost	\$349,357	Subtotal of Estimated Cost	\$349,357

Exhibit 9.0 Housing Needs

Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

The City of Rockford Department of Community Development performs a Housing Study every 5 years. The last study (Analysis of Impediments to Fair Housing Choice) was conducted in 2009 making it slightly out of date. Due to the current economic conditions and trends RHA looked to other sources to determine housing and related economic trend data as a means to anticipate the need for both Public Housing and Section 8 Tenant based Assistance Housing. RHA used AnySiteOnline, City-Data, HUD (UserData and AHS), The Rockford Area Realtors Association, Rockford Area Economic Development Council, ZipAtlas, Realtytrac, and the Bureau of Labor Statistics as source and data providers.

The MSA unemployment rate rose to a high of 15.1 in 2010. It has been trending downward over the past year; however the jobs created have been predominantly in lower wage categories. This is evidenced in the change of annual HH median income for the MSA from a high of \$42,192 in 2007 down to \$36,990. Rockford HH AMI remains well below the US average.

In 2009 33,000 or 21.9% of Rockford residents lived below the poverty level. In the past 10 years, Rockford Public School District 205 students on free or reduced lunches rose from 55% to 75%. Today (FY10) the poverty number stands at 75.3% of all RPS205 enrollment (27,181 children).

The City contains 60,165 housing units with 38.3% (22,353) being devoted to rental units. The Citywide average vacant household rate is at 7% or 4300 homes. In April of 2011 1 in every 534 housing units received a foreclosure notice.

RHA provides 1922 PH units to the market or 9% of the rental market. RHA touches another nearly 10% of the rental market under its HCV program. 1005 or 5% of the units are considered “overcrowded.”

The median market rent (citywide) falls 5% lower than the HUD FMR; however 56% of Rockford residents are considered “rent burdened” spending greater than 30% of their HH income on rent and rent related costs.

Given the current state of Rockford economics, it can be expected that employment and housing trends will continue to burden many Rockfordians. Under such burden, the demand for affordable and/or subsidized housing will continue to grow. The greatest need to those on the waiting list is relief from the cost burden. People who experience a severe cost burden are at risk of homelessness. For example, cost-burdened renters who experience one financial setback are likely to have to choose between rent and food or rent and healthcare for their family.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance <input checked="" type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2,392		
Extremely low income <=30% AMI	2,224	93%	
Very low income (>30% but <=50% AMI)	140	6%	
Low income (>50% but <80% AMI)	19	1%	
Families with children	1,661	69%	
Elderly families	36	2%	
Families with Disabilities	150	6%	
Race/ethnicity W	583	24%	
Race/ethnicity B	1,764	74%	
Race/ethnicity A	10	0%	
Race/ethnicity Other	35	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	614	26%	
2 BR	1047	44%	
3 BR	568	24%	
4 BR	101	4%	
5 BR	53	2%	
5+ BR	9	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: Scattered Sites West and Scattered Sites East= All Bdrs., Fairgrounds -2 & 3 Bdr., BLACKHAWK – 1,2,4,5 BDR. ORTON KEYES – 2,3,5,6 BDR HOW LONG HAS IT BEEN CLOSED? 13 MONTHS FOR FAIRGROUNDS, 8 MONTHS FOR SCATTERED SITES, 7 MONTHS FOR BLACKHAWK AND ORTON KEYES.. Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (If the wait list gets depleted, it will be reopened for Scattered Sites West and East, Blackhawk, Orton Keyes and Fairgrounds). Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Exhibit 9.1 Strategy for Addressing Housing Needs

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☒ Other: (list below)
Complete the relocation efforts of the former Jane Addams residents who elected to participate in the Housing Mobility Program
Implement Housing Mobility Program as a permanent part of the Section 8 Program

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☒ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☒ Other: (list below) Implementation of the Jane Addams Consent Decree

Exhibit 10.0 (a) Progress in Meeting Mission and Goals

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority Profile — The Rockford Housing Authority currently manages 1918 units of Low Income Public Housing located in nine developments throughout the City of Rockford. In 2011 Rockford Housing Authority formed a non-profit affiliate, Bridge Rockford Alliance, Inc (BRAI) to assist it in its LIHTC and Mixed Income/Mixed Financed development work. BRAI will develop a minimum of 38 new disabled supportive housing units and 226 senior units starting in 2011.

The Rockford Housing Authority also administers a Housing Choice Voucher program with a jurisdiction to contract with landlords within the city limits of Rockford. The Housing Choice Voucher program serves approximately 2000 families through several HCV programs including; tenant based assistance, project based developments and a project based “Moderate Rehabilitation” program.

Overall the Rockford Housing Authority owns and/or administers affordable housing, which serves approximately 3% of Rockford’s population. In addition our housing stock and HCV assistance programs comprise approximately 9.5% of the Rockford rental housing market.

Objectives for 2011/2012

The Rockford Housing Authority developed a “Strategic Plan” which included a new vision and mission statement 2009-2014. It contains a long term strategy for converting the Rockford Housing Authority’s obsolete housing stock into new affordable housing opportunities. This will be accomplished by identifying developments, which are no longer cost effective to manage and/or are not serving the needs of our residents. RHA properties such as Brewington Oaks, Fairgrounds Valley and Orton Keyes are all considered candidates for potential redevelopment activities in the upcoming year. Partnerships with the private sector are considered key components in the redevelopment process. RHA will explore mixed-income and mixed-financing options to accomplish these goals. Timelines will be developed for transitioning these developments, either through demolition or redevelopment, into more viable housing. Related resident relocation efforts will include mobility counseling services designed to encourage residents to move to opportunity areas within the City where poverty rates are lower and there are better employment, schools and transportation options.

High Rise buildings designed for the elderly and persons with disabilities will also be assessed with timelines for upgrading, redeveloping and/or modernizing their appearance and functionality. Our “scattered site” housing will be analyzed from an asset management perspective as to each unit’s cost effectiveness and viability.

The ultimate goal of the strategic planning process was to identify housing developments and housing stock, which no longer provides a quality living environment for our residents and is determined to be no longer economically viable. We have identified Brewington Oaks and Fairgrounds as developments that we will seek to create alternative housing options. Emphasis will be placed on providing quality living environments to responsible residents with the availability of programming, which offers opportunities for developing self-sufficiency and economic independence. We intend to accomplish these goals through a variety of creative ventures including public/private partnerships, tax credit programs, Capital Fund bond financing, HOPE VI applications (if the program is available), Choice and Promise Neighborhoods, CFCF, project based Section Eight, and other funding and affordable housing resources to be determined.

Progress on Mission

The strategic planning process was completed and Authority management began to use it as the roadmap for future development. Significant progress has been made towards redevelopment efforts for two of our most distressed properties; Brewington Oaks and Fairgrounds. For both; extensive stakeholder discussions were held with multiple focus group sessions. For Brewington financing plans have been developed and LIHTC applications await approval. For Fairgrounds a developer was named and recently a planner was chosen to help guide community visioning and planning sessions and we expect to solidify a vision in the coming year for the development.

Progress on Goals

- 1) Maintain and strengthen financial viability.

Progress: Focus remains on cash flow at each property as well as meeting budgetary constraints under Central Office operations. This approach has been successful over the past 2 years providing the foundation for expanded resident service programs. We have received our first two installments of the Replacement Housing Funds (RHF) from Jane Addams. We will utilize these funds and future funding to leverage the redevelopment of Brewington Oaks and the Jane Addams site. We will apply for future RHF as permitted.

- 2) Develop Non-HUD income streams

Progress: An IHDA 9% tax credit award was received for redevelopment of the former Jane Addam's site. An IHDA LIHTC application was submitted in March of 2011 after receiving round one approval for the Brewington Oaks property conversion to senior only housing. We will explore ways to utilize/leverage grant dollars for the Fairgrounds neighborhood.

We have entered into a lease with Benedictine University to rent unused office space in the central office building which will provide some additional income. Additional unused space is being offered for lease. RHA is also working with the Rockford Police Department to provide neighborhood policing centers and generate lease revenue.

- 3) Through demolition, dispositions, or a combination of redevelopment and demolition, transition our residents into modern, affordable housing family developments.

Progress: A development plan has been completed for Brewington Oaks and Municipal approval has been received for a full scale redevelopment plan via a PUD. The plan will reduce the number of units from 418 units to 226 units and introduce the new 38 units of disabled supportive housing. A developer and planner have been selected for Fairgrounds.

- 4) Upgrade the Scattered Site program

Progress: We have completed the demolition and disposition plan started last year. Decision points included keep (moderate maintenance), renovate, dispose/demolish were determined by careful review of each of the units. We have partnering with Habitat for Humanity to transfer three of our sites to them in 2011 to ensure redevelopment and affordable housing preservation. This partnership creates a win-win-win situation for both organization and the ultimate new home owner. We will continue to look for other potential partners that we could work with as well. The RHA Board of Commissioners approved a Scattered Sites acquisition plan to allow for the acquisition of new units in opportunity zones as a means to help expand housing opportunities for our residents. These homes will be purchased and if needed renovated and placed in service in 2011. Priority is given to foreclosed and REO homes in order to help stabilize neighborhoods.

5) Upgrade and modernize the high rise and low rise buildings

Progress: We are under contract for exterior renovation and energy improvements utilizing our ARRA funding. This work builds on last year's improvements that included exterior renovation, efficiency improvements, parking lots, and first floor renovations that included the community rooms.

6) Expand case management capabilities in our Resident Services Departments

Progress: We have applied for several grants to allow us to provide a service coordinator for each development and will continue to explore other funding opportunities. RHA is also implementing operational efficiencies that allow us to focus more of our funding to these services and our residents. Pilot programs begin in the summer of 2011 starting at all of our family sites. We have formed a Non-profit instrumentality with 501C3 status (anticipating approval by IRS in summer 2011) to expand our ability to garner funding and services for our residents.

7) Require greater accountability for participants and landlords of all HCV programs

Progress: The first step to accountability has been with the increased frequency in the review of backgrounds for criminal activity—this continues. We are also continuing to improve our efforts with the Housing Mobility program. We require a mandatory Fair Housing Training for our landlords and this past spring's event was very well attended. We also held training for tenant and landlord relationships. We will continue to focus on increased participation in our programs that help our residents become independent.

8) Achieve greater accountability for public housing residents through strengthened lease enforcement and lease provisions as well as enhanced monitoring and training.

Progress: In 2010 and early 2011, RHA held informative meetings at each site to fully discuss the expectations of residents under the ACOP and the Lease. These meetings were mandatory for tenants and were very well attended. These meetings will continue. The ACOP is again under review and alterations are being considered. Changes are anticipated after the submission of the PHA plan. The changes will hold each tenant more accountable and will better monitor their community service hours as well as track any criminal activity through regular background checks. As the changes are finalized, the PHA Plan will be updated as required.

9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.

Progress: RHA has utilized a third party professional employment agency for outside hires and will continue this practice. RHA began a broadened tuition reimbursement plan for the management staff as a means to help staff advance their educational attainment. Further, job related conferences, expos and educational sessions are promoted and encouraged.

10) Continue to focus on curb appeal of the exterior and interior of all of our developments.

Progress: RHA has increase efforts of monitoring by management via a routine inspection. Further, staff has been reorganized such that the Quality Assurance inspector is also responsible for quarterly asset inspections to track and show progress and improvement. Routine weaknesses will be identified, memorialized and addressed under this new approach; this includes established benchmarks that meet or exceed the private market.

11) Provide a safe environment for our residents

Progress: RHA has improved its screening process to include a nationwide search on criminal activity and completes credit checks that report all indiscretions, including past due utilities. This increased screening has reduced the number to applicants that pass the prescreening process. It is not RHA's goal to turn away prospects, but rather to insure the prospects we convert to residents, best meet the terms and conditions of public housing and promote RHA's mission and vision. A "dashboard report" tracks these results and is reviewed monthly with the Board of Commissioners.

Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence, which meets one or more of the following criteria:

- Any changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

Exhibit 11.0 Required Submittals

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet*
- (f) Resident Advisory Board (RAB) comments.
 - See Attachment D
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged
 - See Attachment E

Attachment IL022av02 Carbon Monoxide Act

The Rockford Housing Authority complied with 527 CMR 31.00 act by installing combination Smoke and Carbon Monoxide detectors in all common areas and residential units with gas appliances. The Housing Authority also complies with section 31.07 with no less than annual inspections of each detector. All detectors are battery operated and have their batteries replaced annually.

Current procedures in place:

Housing Choice Voucher (HCV) Program:

- Carbon Monoxide forms are signed at each move in;

Low Income Public Housing (LIPH):

- Carbon Monoxide forms are signed at each move in;
- A notice was sent to all residents on November 6, 2008 notifying them of the new law and subsequent installation;
- Inspections of the detectors have been incorporated into each annual unit inspection



Inter-Office Memo

TO: John Cressman, Executive Director
FROM: Sherri (Tracy) Sengsouvanha, Deputy Executive Director for Development
DATE: August 17, 2009
SUBJECT: RHA Procurement Policy Amendment
Board Recommendation to Amend RHA Procurement Policy for American Recovery and Reinvestment Act Funds (ARRA)

Summation:

On March 18, 2009, HUD issued Notice PIH 2009-12 as Information and Procedures for Processing American Recovery and Reinvestment Act Capital Fund Formula Grants. The notice states in part, "PHAs shall amend their procurement standards and policies as necessary in order to expedite and facilitate the use of the funds. This amended policy can be used only for procurements related to Capital Fund Stimulus Grants. This must be done in writing and consistent with PHA policies and procedures (such as Board approval) and labeled as Capital Fund Stimulus Grant Procurement Policy."

Subsequently in July 2009, HUD issued additional guidance on procurement and their interpretation of the aforesaid Notice PIH 2009-12 as it relates to the American Recovery and Reinvestment Act. In the said July guidance as posted on the HUD website at <http://www.hud.gov/offices/pih/programs/ph/capfund/ocir.cfm>, HUD states that all PHAs must amend their procurement policy even if the PHA believes that it can obligate and expend the funds using its current policies.

Accordingly, the attached procurement policy amendment is submitted for Board consideration and approval with the following major provisions:

- 1) Removes requirements relating to the procurement of goods and services arising under state and local laws and regulations for ARRA funds only;
- 2) Increases the small purchase threshold from \$25,000 to \$100,000 for ARRA funds only and;
- 3) Includes the Buy American provision for ARRA funds that requires PHAs to follow the Buy American requirements of section 1605 of the Recovery Act and use only iron, steel and manufactured goods produced in the United States on their projects.

Recommendation:

For the Rockford Housing Authority Board of Commissioners to resolve to amend the RHA Procurement Policy to incorporate the Procurement Policy Revisions for American Recovery and Reinvestment Act (ARRA) Funds as attached.

**AMENDMENT TO RHA PROCUREMENT POLICY FOR
AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
FUNDS,
ALSO KNOWN AS CAPITAL FUND STIMULUS GRANTS**

Whereas in July 2009, HUD issued additional guidance and clarification regarding procurement requirements for the American Recovery and Reinvestment Act funds, also known as Capital Fund Stimulus Grants, addressed under Notice PIH 2009-12 (HA) that states in part,

“PHAs shall amend their procurement standards and policies in order to expedite and facilitate the use of the funds. This amended policy can be used only for procurements related to American Recovery and Reinvestment Act funds, also known as Capital Fund Stimulus Grants. This must be done in writing and consistent with PHA policies and procedures . . . and labeled as Capital Fund Stimulus Grant Procurement Policy. Specifically, PHAs shall remove all procurement standards that are contrary to Part 85 or the Recovery Act” of 2009.

Accordingly, the Rockford Housing Authority (RHA), pursuant to the above requirements, hereby implements this Procurement Policy Amendment as follows:

- (1) Except as provided for within the above noted Notice PIH 2009-12 (HA) as issued by HUD on March 18, 2009, the revised ACC Amendment for Recovery Act grant(s), and OMB Guidance of the Buy American provisions at 2 CFR Part 176, all requirements listed within the current HA procurement policy shall remain in affect for any procurements executed by the HA, with the following exceptions:
 - (a) Any requirements relating to the procurement of goods and services arising under state and local laws and regulations shall not apply to ARRA funds/Capital Fund Stimulus Grants; the HA shall instead follow the requirements of Part 85 requirements.
 - (b) The small purchase limit, below which the HA will solicit and receive at least three quotes (advertisement not required) and above which the HA will solicit and receive formal bids or proposals (advertisement required), shall be \$100,000 for ARRA funds/Capital Fund Stimulus Grants and;
 - (c) The “Buy American requirements of Section 1605 of the Recovery Act” of 2009 shall be included as a part of any solicitation and award pertaining to ARRA funds/Capital Fund Stimulus Grants.
- (2) Consistent with the requirements of the above noted Notice, this Policy shall expire on March 31, 2010 (unless otherwise extended by the Board of the RHA).

1. **POLICY AMENDMENT – PROCUREMENT POLICY
REVISION**

Recommendation:

It is recommended that the Rockford Housing Authority Board of Commissioners to resolve to amend the RHA Procurement Policy to incorporate the Procurement Policy Revisions for American Recovery and Reinvestment Act (ARRA) Funds as attached.

Background:

See attached memo from Sherri Tracy,
Deputy Executive Director for Development

Recommended by:

Administration and Development

Memo	Rockford Housing Authority
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TO: Files
FROM: John Cressman, Executive Director
DATE: June 16, 2011
SUBJECT: PHA Public Meeting – 2:00 p.m. – 1st Floor Conference Room

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John Cressman, Executive Director, welcomed everyone to the Public Meeting of our 5 – Year and Annual PHA Plan. John explained that this is a process we must do to show the direction our Housing Authority is headed toward in the next five years. At this point, John introduced Ron Clewer, Deputy Executive Director for Development & Asset Management.

Ron brought to everyone's attention Exhibit 5.2 Goals & Objectives, Item #4 which is to upgrade our Scattered Site program. A list of scattered sites has been attached to the plan for possible disposition, demolition or redevelopment. These units will be appraised and checked against the 2011 physical needs analysis to determine the appropriate action and best use of RHA funds.

We will require more accountability from our landlords and our residents under public housing and the Section 8 program. There will also be changes to our ACOP in this next year. RHA is in the process of reviewing its 'flat rent' and conducting a market survey. To the extent that is allowable under HUD guidelines, RHA will adjust flat rent in the coming year.

There will be changes to Community Service to hold each tenant more accountable and if the resident is not compliant and a review is held, there will be action to terminate their lease.

The date on our PHA Plan is not current as it states expires 4/30/2011, however this HUD form still in effect. The VAWA form has just become available and it will become a part of the process during the lease signing for public housing and the HCV program.

Attachment B is a new form this year and on it is listed a short narrative of the changes to the plan.

Exhibit 9 – What are the needs of our community? Given the current state of our city's economic insecurity, foreclosures of homes, high unemployment and stressed residents, the need for public housing will be very high.

Ron introduced Paul Lindstrom, Modernization Coordinator, to discuss the Capital Fund and how it affects our residents. In February, Paul began meetings with the residents, managers and maintenance staff at all of our sites to discuss their needs and to prioritize the work items for the coming year and to

invite their input and ideas. The Capital Fund has been cut by 18% leaving about 1.2 million for bricks and mortar this year. There will be an asbestos survey conducted at each site in the near future.

In the #111 grant we have planned for a new entrance access system at Park Terrace which is a call system similar to the one we have placed in our low rise buildings. The riser replacement may have to be put off for awhile longer to combine with some of the #112 grant funds.

Electric service will be upgraded for an amount of \$250,000 at Park Terrace and the residents will be able to remain in their apartments during that time. Olesen Plaza entrance access system will be installed for \$250,000.

In the #110 grant we are out for bid for the Orton Keyes community room, risers for Park Terrace, hallway flooring for Olesen Plaza and low rise common area furniture. In the #109 grant 2/3 of the funds have been expended with the Head Start roof replacement and low rise roof replacement.

The ARRA grant has allowed us to renovate the first floor at Park Terrace, the concrete approach to Olesen Plaza, first floor at Olesen Plaza, parking lot repairs to all of our high rise and low rise sites. We have also replaced driveways, sidewalks, siding, windows, roofs and landscaping at 77 of our scattered sites. The #108 grant is 99% expended and we were able to complete the stone repair at Orton Keyes, weatherization of Park Terrace, s/s roofs and Olesen Plaza. The ARRA competitive grants are for Orton Keyes and North Main Manor. At Orton Keyes we have completed the water conservation, furnaces and refrigerators and the bids are out for replacing 1,100 windows at this site. There will also be common area lighting and porch lighting replaced with LED fixtures at a cost of less than 1/2 of what they are at the present time. North Main Manor we have asked for a revision to this grant and we will be allowed to change working with Larson & Darby on new light fixtures in the parking lot and inside in every unit. There will be new refrigerators and one high bred energy efficient boiler that will work for the entire building until the temperature drops below 20 degrees then the other boilers will kick in to keep the building at a comfortable temperature.

The 5 year plan will assist in completing the riser repair at Park Terrace and the North Main entrance access system. Also in the next 5 years we would like to put new siding on the outside of the buildings at Orton Keyes.

A resident asked if the benches could be put back on the outside of the entrance at Olesen Plaza? John Cressman replied that the managers had moved the benches away from the entrance as it became too congested for visitors and residents who were coming in and out of the building. The benches were moved to the patio area for everyone's convenience.

John closed the meeting by once again thanking everyone for coming to the meeting and also for their contributions in putting this plan together.

Attending:

Paul L. Miller	511 N. Church St.
Ron Clewer	RHA
Michelle Cassaro	RHA
John Cressman	RHA
Jeri Billo	RHA
Susan Campbell	RHA
Amy Kell	222 Forest Drive
Cherene Sweeny	RHA
Michelle Sather	RHA

Paul A. Lindstrom

RHA

Notes by jbillo

Attachment IL022dv02 - List of Resident Advisory Board Members & Minutes of Public Hearing

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Johnny Simmons President HOME	511 N. Church St. #512, Rockford, IL 61103
H.O.M.E. Board		
H.O.M.E. Board	Tevis Jones, Treasurer .	515 Seminary St. Apt 906B, Rockford, IL 61104
H.O.M.E. Board	Goldie Carpenter, V. President	515 Seminary St. Apt. 1103B, Rockford, IL 61104
H.O.M.E. Board	Donna Davis, Secretary.	515 Seminary St. Apt 1105B, Rockford, IL 61104
H.O.M.E. Board	Donald Johnson, Pres.	1000 Chamberlain Apt 314, Rockford, IL 61107
H.O.M.E. Board	Carletta Sockwell, V.Pres.	1000 Chamberlain Apt 1112, Rockford, IL 61107
H.O.M.E. Board	Theresa Carlson, Pres..	505 N. Main St. Apt. 204, Rockford, IL 61103
H.O.M.E. Board	Betty Thompson, V. President	505 N. Main St. Apt. 516, Rockford, IL 61103
H.O.M.E. Board	Gloria Williams, Secretary	505 N. Main St. Apt. 1005, Rockford, IL 61103
H.O.M.E. Board	Laura Wright, President .	511 N. Church St Apt 512, Rockford, IL 61103
H.O.M.E. Board	Alice Jenkins, Treas.	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Joyce Oliveri, Sec	511 N. Church St. Apt 1410 Rockford, IL 61103
H.O.M.E. Board	Joyce Meeks, Pres.	4124 Harrison Ave Apt. 305, Rockford, Il 61108
H.O.M.E. Board	Sharon Kitsemble, Treas.	4124 Harrison Ave Apt 303 Rockford, IL 61108
H.O.M.E. Board	Yvonne Fonseca, V.Pre.	4124 Harrison Ave Apt 214 Rockford, IL 61108
H.O.M.E. Board	Carolyn Chavis, Sec	4124 Harrison Ave Apt. 314, Rockford, Il 61108
H.O.M.E. Board	Jackline Leu, Treas.	5410 Midvale Dr. Apt 200, Rockford, Il 61108
H.O.M.E. Board	Nancy McWhirter	5410 Midvale Dr. Apt. 210, Rockford, Il 61108
H.O.M.E. Board	John Tyler, Pres.	5410 Midvale Dr. #108, Rockford, IL 61108

Each of the above H.O.M.E. Board members (H.O.M.E. Board is made up of the Resident Council Officers from each development) was mailed an invitation to attend the Public Meeting held on June 16, 2011. There were no H.O.M.E. Board attendees at this meeting.

THE H.O.M.E. Board meets with RHA on a monthly basis. At the May 2011 meeting the Agency Plan was discussed. Members agreed with staff recommendations. No additional comments were made therefore no action was taken.

Memo	Rockford Housing Authority
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TO: Files
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DATE: June 16, 2011
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The date on our PHA Plan is not current as it states expires 4/30/2011, however this HUD form still in effect. The VAWA form has just become available and it will become a part of the process during the lease signing for public housing and the HCV program.

Attachment B is a new form this year and on it is listed a short narrative of the changes to the plan.

Exhibit 9 – What are the needs of our community? Given the current state of our city’s economic insecurity, foreclosures of homes, high unemployment and stressed residents, the need for public housing will be very high.

Ron introduced Paul Lindstrom, Modernization Coordinator, to discuss the Capital Fund and how it affects our residents. In February, Paul began meetings with the residents, managers and maintenance staff at all of our sites to discuss their needs and to prioritize the work items for the coming year and to invite their input and ideas. The Capital Fund has been cut by 18% leaving about 1.2 million for bricks and mortar this year. There will be an asbestos survey conducted at each site in the near future.

In the #111 grant we have planned for a new entrance access system at Park Terrace which is a call system similar to the one we have placed in our low rise buildings. The riser replacement may have to be put off for awhile longer to combine with some of the #112 grant funds.

Electric service will be upgraded for an amount of \$250,000 at Park Terrace and the residents will be able to remain in their apartments during that time. Olesen Plaza entrance access system will be installed for \$250,000.

In the #110 grant we are out for bid for the Orton Keyes community room, risers for Park Terrace, hallway flooring for Olesen Plaza and low rise common area furniture. In the #109 grant 2/3 of the funds have been expended with the Head Start roof replacement and low rise roof replacement.

The ARRA grant has allowed us to renovate the first floor at Park Terrace, the concrete approach to Olesen Plaza, first floor at Olesen Plaza, parking lot repairs to all of our high rise and low rise sites. We have also replaced driveways, sidewalks, siding, windows, roofs and landscaping at 77 of our scattered sites. The #108 grant is 99% expended and we were able to complete the stone repair at Orton Keyes, weatherization of Park Terrace, s/s roofs and Olesen Plaza. The ARRA competitive grants are for Orton Keyes and North Main Manor. At Orton Keyes we have completed the water conservation, furnaces and refrigerators and the bids are out for replacing 1,100 windows at this site. There will also be common area lighting and porch lighting replaced with LED fixtures at a cost of less than 1/2 of what they are at the present time. North Main Manor we have asked for a revision to this grant and we will be allowed to change working with Larson & Darby on new light fixtures in the parking lot and inside in every unit. There will be new refrigerators and one high bred energy efficient boiler that will work for the entire building until the temperature drops below 20 degrees then the other boilers will kick in to keep the building at a comfortable temperature.

The 5 year plan will assist in completing the riser repair at Park Terrace and the North Main entrance access system. Also in the next 5 years we would like to put new siding on the outside of the buildings at Orton Keyes.

A resident asked if the benches could be put back on the outside of the entrance at Olesen Plaza? John Cressman replied that the managers had moved the benches away from the entrance as it became too congested for visitors and residents who were coming in and out of the building. The benches were moved to the patio area for everyone's convenience.

John closed the meeting by once again thanking everyone for coming to the meeting and also for their contributions in putting this plan together.

Attending:

Paul L. Miller	511 N. Church St.
Ron Clewer	RHA
Michelle Cassaro	RHA
John Cressman	RHA
Jeri Billo	RHA
Susan Campbell	RHA
Amy Kell	222 Forest Drive
Cherene Sweeny	RHA
Michelle Sather	RHA
Paul A. Lindstrom	RHA

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 14, 2011
SUBJECT: Capital Fund Budget Meeting at Buckbee Apartments

Paul opened the meeting with introductions, welcoming everyone and began explaining the purpose of this meeting was to help the residents understand the funds that had been spent on their sites in the past year and the plans for the next five years while keeping the conversation open to ideas and suggestions from the residents for future planning. Paul continued that over one million and ½ dollars had been spent on the low rises for interior renovations, laundry renovations, exterior renovations, first floor bathrooms and parking lot resurfacing and lighting replacement.

A resident asked if there was any work scheduled for their kitchen area, cabinets and counter- top? I know that the 1st floor units all need new kitchen cabinets and appliances and we will have to look at the budget to see is anything can be done. Paul went on to say you will be receiving new common area furniture in June and new lounge furniture for your TV area. New patio furniture has also been ordered with a square ADA type table for that area. Laura asked if the residents could have an access reader in the community room and to the back door leading to the patio, so the residents can go in and out without the door locking them out.. Paul said he would look into this request.

We will be adding new heavy duty bi-fold doors for your closets. The residents said they liked the new carpeting and lighting in their building.

Attending:
Paul Lindstrom
Laura Snyder
Lisa Olson
Jeri Billo
Sharon Kitsemble
Clemater Aleks

Notes by jbillo

Memo	Rockford Housing Authority
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TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 14, 2011 – 10:30 A.M.
SUBJECT: Capital Fund Budget Meeting at Midvale Apartments

Paul opened the meeting with introductions, welcoming everyone and began explaining the purpose of this meeting was to help the residents understand the funds that had been spent on their sites in the past year and the plans for the next five years while keeping the conversation open to ideas and suggestions from the residents for future planning. Paul continued that over one million and ½ dollars had been spent on the low rises for interior renovations, laundry renovations, exterior renovations, first floor bathrooms and parking lot resurfacing and lighting replacement. New common room furniture has been ordered and we will look into adding chairs to your community room.

John Berta is concerned that there is a large crack in the sidewalk with a hump in it, where his personal assistant has fallen. Paul looked at this area at the end of the meeting and will review what will need to be done to repair the walk.

Paul stated that new bi-fold closet doors will be replaced in all of the apartments starting in 2012. He assured the residents these doors are not like the old accordion closet doors, as they are made sturdier and will not pull away from the top, so that it will work for residents in wheelchairs. A resident asked why they add a bi-fold door in the community room which has never been used. Paul replied that decision was made by a previous manager and was not his decision to make.

A resident asked about changing the carpeting in his apartment. Paul suggested that he talk to Laura in arranging for new carpeting to see if that could be arranged.

At the end of this meeting Paul was asked to look at the exterior grounds where they are having a problem with water coming down from the roof and running over the sidewalk and during the winter freezing up. When Paul looked at this drainage area he could not see a better solution to this problem at this time.

Laura also asked about the water run-off that freezes right at the entrance door. Paul said there are heating coils above that area that need to be turned on, to keep the water from freezing in that area.

Attending:
 Paul Lindstrom
 Laura Snyder
 Lisa Olson
 Jeri Billo
 Willie E. Knight
 Emily Bristol
 Bobbie J. Showers
 Fredrick Showers Sr.
 John Berti
 Dianna Berti
 Dennis Wallace

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 14, 2011 – 9:30 A.M.
SUBJECT: Capital Fund Budget Meeting at Summit Green Apartments

Paul opened the meeting with introductions, welcoming everyone and began explaining the purpose of this meeting was to help the residents understand the funds that had been spent on their sites in the past year and the plans for the next five years while keeping the conversation open to ideas and suggestions from the residents for future planning. Paul continued that over one million and ½ dollars had been spent on the low rises for interior renovations, laundry renovations, exterior renovations, first floor bathrooms and parking lot resurfacing and lighting replacement. New common room furniture has been ordered for your site.

We'll be putting new closet doors in each apartment and vinyl wall covering in all of the ADA units. Laura said that new closet doors are needed especially in the two bedroom apartments.

Laura did add that the built in ovens are in need of a lot of repairs. Paul replied these are the drop down units and they are not made anymore. We need to see if these ovens are in need of parts to be repaired. Laura said the access systems are working fine and the residents agreed.

Paul stated the new lighting systems are set on motion detectors, so at times they will appear to be dim. Paul closed the meeting by announcing the Public Meeting to be held at Central Office on Thursday, June 16th at 2:00 p. m.

Attending:
Paul Lindstrom
Laura Snyder
Lisa Olson
Jeri Billo
Mildrene Wright
Rosa Harris

Notes by jbillio

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 15, 2011
SUBJECT: Capital Fund Budget Meeting at Fairgrounds Valley Apartments

Paul began the meeting by asking Zondra if there were some specific items that needed attention and were cause to fail their REAC inspections. She replied that tripping hazards on sidewalks and downspouts. She also stated that spalling on entry doors where rebar is coming through the sidewalk is a problem along with repairs to the streets.

Zondra did copy her recent REAC report for Paul's review on addresses where these problems exist.

Troy commented that tree roots are coming up on sidewalks. He also added that the cover on the bottom of the downspout grate is missing, so major tin downspout work needs to be done because they are using a cheap bracket to hold this in place. Paul asked if it is a standard size and Troy replied no, it is about 7".

Paul did say that since some of the plans for demolition at Fairgrounds have not come through, we may have to look into making some much needed repairs to this site especially the ones that are needed to pass our REAC inspections.

Attending:
Paul Lindstrom
Jeri Billo
Zondra Carson
Troy Hadie

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 19, 2011
SUBJECT: Capital Fund Budget Meeting at Park Terrace Apartments

Paul began the meeting with introductions and explained the purpose of this meeting was the Capital Fund Budget for this year. He reviewed the items that had been spent out of each grant over the past 3 years including the weatherization of the exterior of the building, first floor renovation, first floor furniture, parking lot resurfacing, electrical upgrade of the 8 & 9 lines, renovation, appliances and PTAC's of the 8 & 9 lines. Close to two million dollars was spent on Park Terrace in the last 3 years with Capital Funds.

You will see that over the next 5 years \$600,000 each year has been set aside for riser replacement, which will be on the north side of the building. \$181,307 for this year is to replace your entrance access system for your intercom, so you would not have to use your phone or television to allow your visitors access to the building.

At this point the politicians are arguing over how much the Capital Funds will be cut and the figure at this point seems to be 20% which would make a big difference in planning the Capital Fund Budget however my goal is to complete this building. The State of Illinois has some energy saving grants available through Nicor and ComEd and they are working with Honeywell to replace refrigerators, light fixtures and aerators. Your hallway lighting was done by this type of grant.

A resident asked why there is \$30,000 set aside this year for asbestos abatement? Paul replied that a survey is needed to let him know how much is there when the next job is planned. There are 12" tiles that were put over 9" tile and they will have to be removed as they are hazardous and inspections will have to be performed.

A resident living in the north side of the building asked if they were going to get new kitchen cabinets and appliances? Paul replied that the south side has been replaced and he will get to the north side when he plans the new water lines however the resident will have to be moved out while the work is being done. Another resident asked if they were going to get new air conditioners? Paul said yes, once the electric in the building has been upgraded to 75 amp. There is not enough electric to the building to increase your electricity any higher. When the electric upgrade is complete everyone will have electric stoves however, that is a few years away yet.

A resident asked if there was money for landscaping to make the outside of the building look attractive, and Paul answered that he should get together with Laura to see what they could plan to make this happen. A resident also asked what a Physical Needs Assessment is? Paul explained that it is mandated by HUD that we have a Physical Needs Assessment performed at our sites every 5 years to tell us what needs to be done over the next 25 years. Laura asked if Paul had any plans to replace any of the windows and Paul answered that the Franklin windows are only about 15 years old and have a much longer lifetime and we can still order parts for repairs.

Paul commented that RHA has spent \$10,000 to balance the air supply to every floor in Park Terrace, therefore to keep the building energized it is imperative that the windows at the ends of the hallways remain closed on every floor. A question was asked about the heating and Paul explained that you have heat coils in the ceiling and Danfoss Controls. It takes two hours for your temperature to go up and level off.

Attending:
Paul Lindstrom
Jeri Billo
Laura Snyder
Lisa Olson
Bob Lowe
Jean Collins
Richard R. Aves
Linda Windsor
Lorraine Tomlinson
Earl Campbell
Cabot Tomlinson
Annie Bershell
Shalonda Gladney
Annie Patrick
Barbara Taylor
Jay Damken

Notes by jbillo

Memo	Rockford Housing Authority
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TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 20, 2011
SUBJECT: Capital Fund Budget Meeting at Orton Keyes Courts

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Paul began the meeting by explaining to Lynn that every year the Rockford Housing Authority puts together the PHA plan and it is sent onto HUD for approval, and this in turn releases our Grant money for the coming year. The Capital Fund Five Year Budget Plan explains what we've done at your site and what we plan to do in the next five years taking into consideration your comments and suggestions. The items must be listed in the plan first for Paul to be able to either move them up by a year or two or add to the amount as needed.

In the renovation of the Community room this year, we would like to lower the ceiling, add new lighting, new flooring and glass block in the windows. We will also replace the Community room boiler which will give you quick recovery heating. Your site has also received an ARRA competitive grant this year to replace furnaces, water conservation, apartment windows and upgrade common area lighting. Lynn said her residents are also in need of new stoves and Paul replied that when that happens they will be changed over to electronic ignition instead of standing pilot.

Paul asked about their REAC inspections and if there were any particular areas that we could discuss. Lynn mentioned seal coating and striping of the parking lots for handicapped residents. Tripping hazards in the sidewalks and problems with erosion where the downspouts either come loose or have been removed. There is a retaining wall on the northwest side of the site that needs to be re-done as it was put up with railroad ties and some of them are missing. Lynn gave Paul a copy of the last Orton Keyes REAC inspection so he could review the bigger items to see if Capital Fund can be used to help.

Attending:
 Paul Lindstrom
 Lynn Feirn
 Jeri Billo

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 21, 2011
SUBJECT: Capital Fund Budget Meeting at Blackhawk Courts

Paul began by saying that since Blackhawk has been on the disposition side it doesn't allow for him to plan for a lot of money to be spent at this site. Larson & Darby staff went with Brad to review the street repairs needed at this site. The money we have for street repair at Blackhawk \$91,000.00 will only repair two or three streets.

What are your main issues when REAC performs their inspections?

- Wood railings at the Boys & Girls Club

- Tripping hazards on the sidewalk

- Closures at the disposal sites

Lynn would like to see garbage cans purchased for the residents and charge them if they need to be replaced instead of residents putting their garbage in bags on the curb and accessible to animals.

She also has paint peeling issues in at least 4 of her two story buildings. The Blackhawk office building roof leaks in the southeast corner of the building and there are 3 more roof leaks in the other buildings at this site. Paul said he would call Mc Dermaid Roofing to check out the leaks here and at Orton Keyes.

Attending:

Paul Lindstrom

Jeri Billo

Lynn Feirn

Lucy Burton

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 26, 2011
SUBJECT: Capital Fund Budget Meeting at Olesen Plaza

Paul opened the meeting with introductions and the reason for this site visit. In our 108 grant we did your apartment door closers and relocated your vending machines and in 109 we did some renovation to your first floor and the weatherization of the exterior of your building. When the ARRA grant was available we resurfaced your parking lot and completed the renovation of the entire first floor for \$272,467.00.

In the 110 grant I have ordered patio furniture that will be shipped by May 9th. A contractor has been hired to assemble it in place and fasten it down permanently without the chains that are on the furniture in your patio presently. You will have 2 – 8' tables, 2 – 4' tables and 2 benches with wheelchair accessibility. The furniture will be plastic coated and you will also have a new grill with a cover.

We are planning on installing a new entrance access system similar to what we presently have in our low rises. You will no longer need to use your TV or telephone to view or allow in your visitors. This system will be on a separate colored screen. We will also begin to replace your kitchen cabinets and closet doors. If your kitchen cabinets fail on a REAC inspection, we would come in and put new ones in. We plan on stocking the cabinets in your building so they could be replaced as needed. At that time, you would receive a new countertop and double sink. A resident asked when they would get new closet doors? Paul replied that with new closet doors, the resident would have to empty your closets completely and we would install a standard size heavy use bi-fold door and put in new wire shelving, so your closet would be re-configured in style. Your hallway flooring may be in by late fall and it could be done in carpeting or tile. Maintenance wise carpeting becomes easier to clean and repair.

We are working with the State of Illinois on an energy efficiency grant for an amount of \$300,000 to allow us to upgrade your apartment lighting and replace your refrigerators.

Paul asked the residents if their apartments were more comfortable after the weatherization of the exterior of the building was completed? They all agreed they were more comfortable.

Paul did mention that an asbestos survey will be done this year. The surveyor will go into a vacant unit and take a sample. Then they will proceed to visit every apartment just to see if everything looks the same. When they are finished, they will send Paul a book that will tell him everything that is in this building. This is something that is long overdue.

Paul was also asked by a resident to look into putting in a sidewalk at the rear entrance for the safety of residents walking in that area. He did this on our leaving the building.

Attending:
Paul Lindstrom
Jeri Billo
Gwenn Shirley
Holly Norton
Mathew Burg
Randy Wells
Joyce Oliveri

James Thomas
Johnny Simmons
Rita Guidi
John Guidi
Don Dilliland
Patti Crossno
Beverly Alvey
Laura Wright
Mary Funk
Patricia Helton
Alice Jenkins
Delbert Brown
William Mc Afee
Donald Clapp
Tandrea Gibb
Eunice Green
Paul Miller
Tony Taylor
Marian Peters

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 26, 2011
SUBJECT: Capital Fund Budget Meeting – S/S East & West

Paul began the meeting by explaining the monies that had been spent out of the grants for S/S west projects such as roof and window replacements. Out of the ARRA grant a total of \$438,838 was spent on these sites for driveway/sidewalk replacement, windows, siding and roofs. Out of the 110 grant there will be more money for window replacement in the 016's, kitchen cabinet replacement and bathtub surrounds and faucet replacement.

Paul stated that the Development Department is going to take another look at the good, bad and ugly scattered sites to determine what needs to be done at these sites to pass our REAC inspections and to think about selling off any of these sites. Paul is planning on having the windows replaced in the 016's by this summer. Paul has a bid packet on the street now for sealing the basement walls of the sites that are leaking.

On S/S East work was done on landscaping, driveways, siding, windows and shingles to an amount of \$1,040,067 through the ARRA grant. Larson & Darby is working on a plan to upgrade the bathroom ventilation in the scattered sites. We will also be replacing some of the kitchen cabinets in our sites. Linda gave Paul a wish list for the scattered sites. These are some of the items on the list:

16's – new roofs, new windows, fix large step going into units, new furnaces.
New gutters for all 2 floor 21's – gutter guards
Tree removal
Repair/eliminate trip hazards
Covers for window wells
Remove all damaged fencing
New sheds for all 16's and 21's

Attending:
Paul Lindstrom
Jeri Billo
Linda Lander
Sybil Mueller
Joe Spinello

Notes by jbillo

Memo

Rockford Housing Authority

TO: Files
FROM: Paul Lindstrom, Modernization Coordinator
DATE: April 26, 2011
SUBJECT: Capital Fund Budget Meeting at North Main Manor

Paul welcomed everyone and explained the purpose of this meeting and after introductions stated that comments and questions would be taken after the meeting. If you've been reading the news in the paper you may have noticed the articles about the government reducing our budget by 20%. In our 108 Capital Fund Grant we did your apartment door closers in the amount of \$28,916 and in the 109 we replaced the HVAC and A/C system which supplies the air flow to your building.

The ARRA grant was an energy savings grant and it was not feasible for us to put in solar panels to this building therefore we have planned some energy efficient upgrades to your apartments and the exterior of the building. There will be new tub surrounds, toilets and aerators, apartment lighting upgrade and energy efficient LED lighting for the exterior of the building. The men's lair and laundry facility will have an energy efficient HVAC system added. You will also have new refrigerators in your apartments however there are 3 different sizes in this building, so we will make an adjustment to some of the kitchens to make a larger refrigerator accessible. The small refrigerators are not made in the U.S., so I will be requesting permission to revise this item. The money for this grant must be obligated by September or we could lose it, so I am working on this at this time.

In 2012, we will replace your entrance access system for \$250,000.00. This system will not require your TV or your telephone. It is the same system that we placed in our low rises nearly three years ago and it has worked well.

A resident asked what happens if they find mold when removing the old tile or showers and Paul answered the contractor will remove it and put in green board to alleviate the problem in the future.

A question was asked about using the stove in the kitchen? Paul answered that the stove has been disconnected as there cannot be any open burners according to the fire code. It can be used as a warming kitchen only with roasters, slow cookers and microwave ovens.

Attending:
Paul Lindstrom
Jeri Billo
Michelle Morey
Merilean Thompson

Carol Geaschel
Albertha Brown
Bob Vronch
John T. Brantley
Joyce Hadley
Gordy Johnson
Percie Ellzy
Pauline Risley
Rodney Fullove
Enos Terry
Jimmy Mc Fadden
Glen Loveland
Gloria Williams
Wiletha Barmore
Napolean Leavy
John Porter
Harry Clark

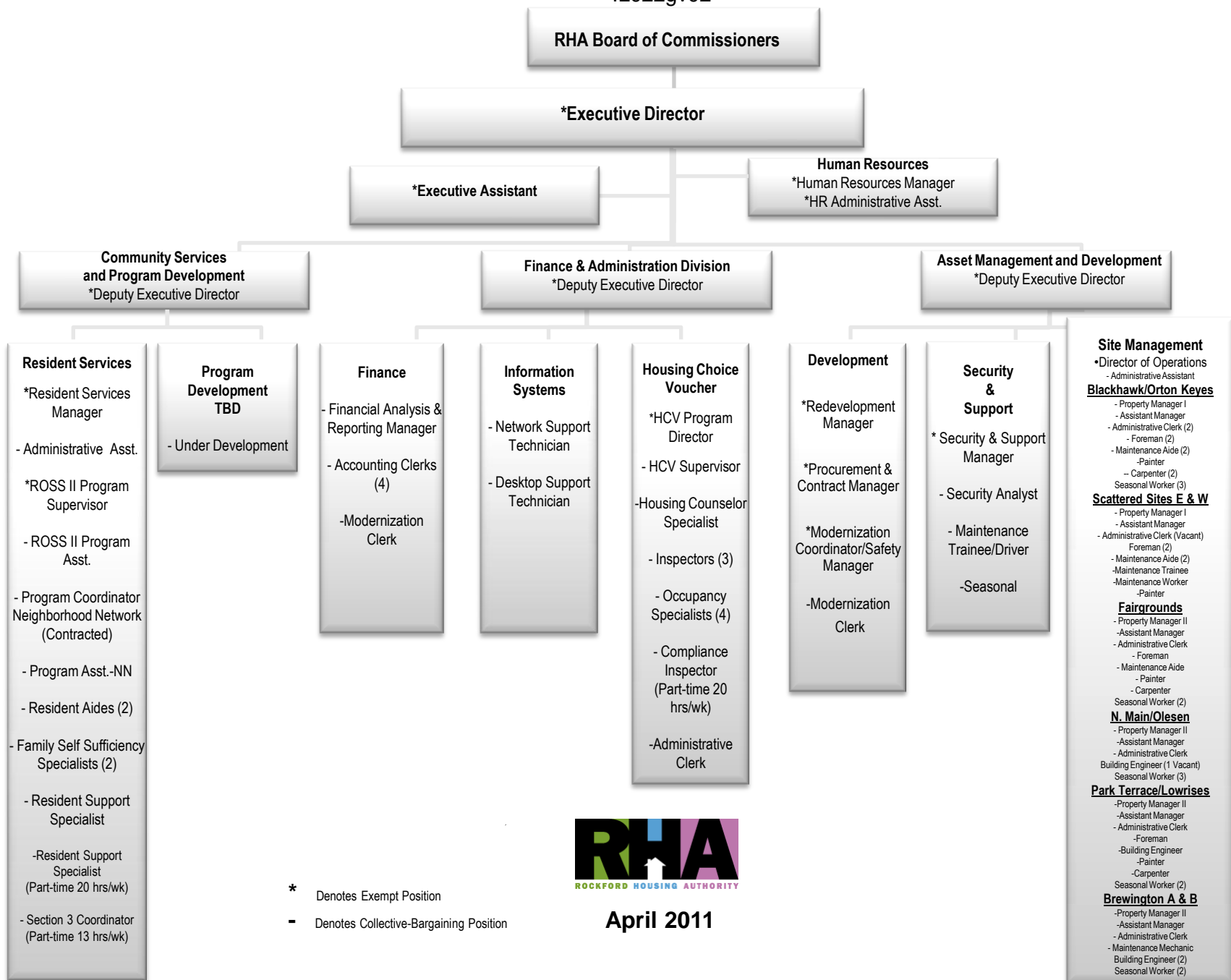
Notes by jbillo

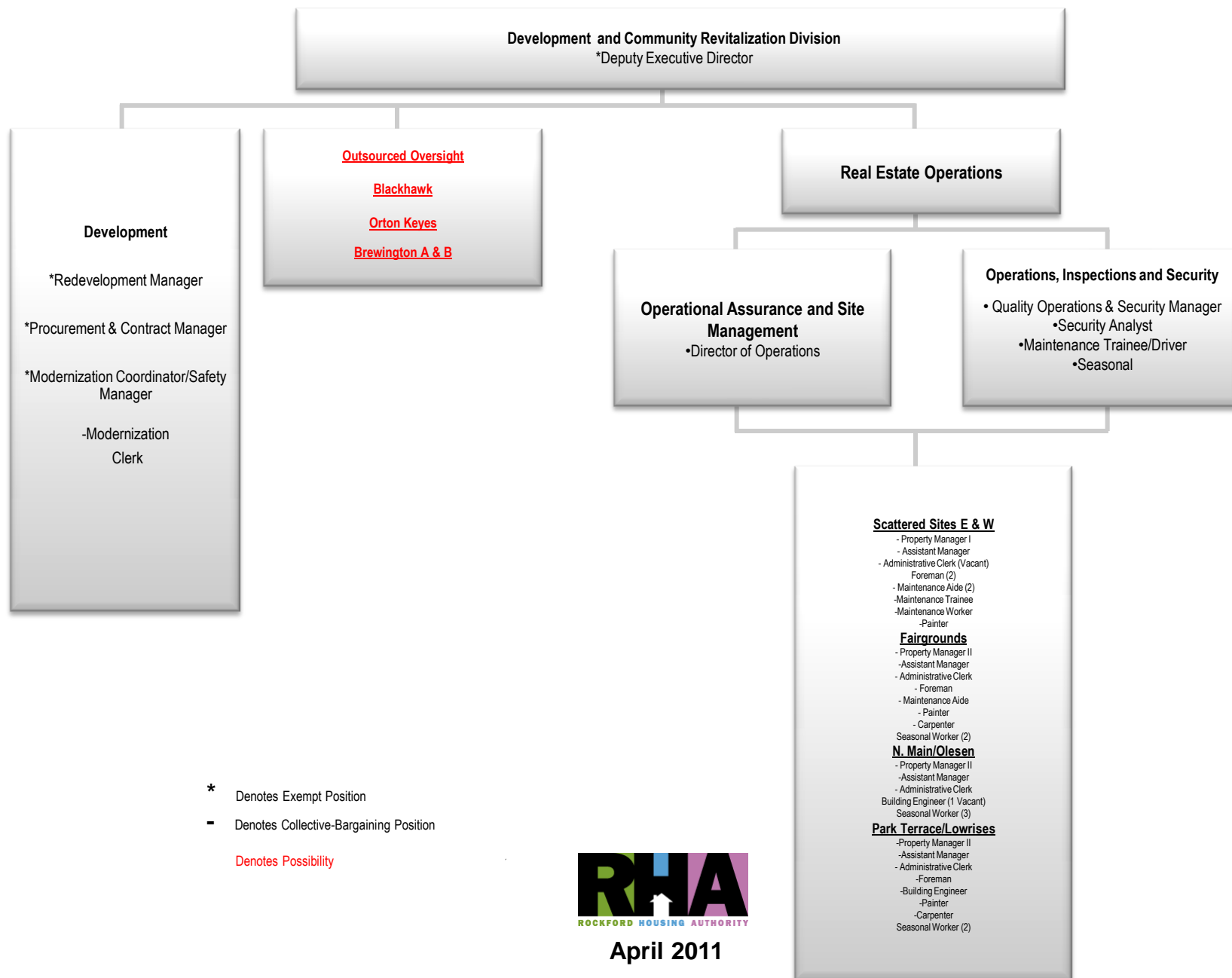
**Attachment IL022ev02 Challenged Elements of the Rockford Housing
Authority PHA Plan**

There were no challenges presented in regards to this PHA Plan.

List of Possible Demolitions, Rehabs and/or Dispositions

House #	Address	Bedrooms	AMP #		House #	Address	Bedrooms	AMP #
3564	Auburn St.	2	20		1819	Green Street	3	20
3568	Auburn St.	2	20		1821	Green Street	4	20
121	Broadway Street	3	21		1823	Green Street	4	20
119	Broadway Street	3	21		1825	Green Street	3	20
1518	Brown Ave	3	20		1827	Green Street	3	20
911	Bruce Street	3	20		1117	Greenwood Ave	3	21
1010	Central Ave N	2	20		1710	Hancock Street	3	20
2023	Church Street N	2	20		1206	Kent Street	4	20
948	Court Street N	2	20		2929	Lapey Street	3	21
956	Court Street N	2	20		1032	Lincoln Ave	5	20
2321	Court Street N	3	20		1103	Loomis Street	6	20
1926	Douglas Street	3	20		3315	Montrose Ave	2	20
2804	Eighteenth Street	3	21		3319	Montrose Ave	2	20
2905	Eighteenth Street	3	21		2240	Nineteenth Avenue	3	21
2907	Eighteenth Street	3	21		2708	Nineteenth Street	3	21
2915	Eighteenth Street	4	21		715	Oakley Ave	2	20
2917	Eighteenth Street	4	21		3011	Ridgeway Ave	3	20
2919	Eighteenth Street	2	21		1510	Rockton Avenue N	3	20
2921	Eighteenth Street	2	21		703	Sanford Street	3	20
2923	Eighteenth Street	2	21		705	Sanford Street	3	20
2925	Eighteenth Street	2	21		2308	Sixteenth Avenue	2	21
2927	Eighteenth Street	4	21		531	South Ave	3	21
2931	Eighteenth Street	3	21		2008	State Street W	4	20
2932	Eighteenth Street	3	21		2010	State Street W	4	20
2933	Eighteenth Street	3	21		3508	Sunnyside Ave	3	20
2934	Eighteenth Street	3	21		3513	Sunnyside Ave	3	20
2939	Eighteenth Street	3	21		718	Taft Road	3	21
2940	Eighteenth Street	4	21		834	Tenth Avenue	3	21
2941	Eighteenth Street	3	21		1511	Twelfth Street N	3	21
2942	Eighteenth Street	4	21					
1117	Elm St.	3	20					
1423	Fairview Ave	2	20					
3827	Fifteenth Avenue	2	21					
1934	Fifth Street S	4	21					
1936	Fifth Street S	3	21					
712	First Street N	3	21					
1804	Genoa Street	3	20					
1422	Green Street	3	20					
1424	Green Street	3	20					
1817	Green Street	3	20					





IL022hv02 Attachment

ACOP – Summary of Changes/Revisions

Chapter 1 – Statement of Policies and Objectives

Updates/Additions: Reasonable Accommodations Policy
Assistance Animals
Translations of Documents
Posting Required Information
PHAS Objectives

Chapter 2 – Eligibility for Admission

Updates/Additions: Criteria for Admission
Joint Custody of Children
Live-In Aides
Personal Care Assistant
Verification of Social Security Numbers
Mixed Families
Payment of Bad Debt
Permanently Denied/Sex Offenders

Chapter 3 – Applying for Admission

Updates/Additions: Application Process
Supplemental Information to Application
Where to Apply
Opening and Closing the Waiting List
Requirement to Attend Interview

Chapter 4 – Establishing Preference and Wait List

Updates/Additions: Site Base Waiting List
Waiting List Management
Preferences/Preference Denial
Changes in Circumstance Prior to and After Unit Offer
Order of Selection

Chapter 5 – Occupancy Guidelines/Continued Occupancy

Updates/Additions: Resident Assignment Plan
Determining Unit Size

Continued Occupancy: Who is allowed to Occupy the Unit
Absences of Family Members or Entire Family

Continued Occupancy: Caretaker for Children
Remaining Family Members
RHA's Visitors Policy
The Lease
The Rent

- Resident Schedule of Service Charges
- Annual Re-examination
- Annual Criminal Background Checks
- Interim Re-examinations
- Inspections
- Resident-Paid Utilities
- Appliances
- RHA Entry of Premises during Tenancy
- Emergency Repairs
- Key Control
- Trash Disposal
- Screen Doors
- Window Treatments
- Wading Pools
- Parking
- Vehicle Policy
- Gardening
- Notice Procedures
- Community Service & Economic Self-Sufficiency
- Accessible Units
- Assisted Animals for Residents with Disabilities
- RHA Pet Policy
- Pet Rule Violation Procedure
- Over-Housed/Under Housed
- Termination of the Lease
- Move-Out
- Notice of Eviction
- Grievance Procedure and Requirements

Chapter 6 – Factors Related to Total Tenant Payment Determination

Updates/Additions:

- Hardship Policy
- Flat Rents
- Mandatory Earned Income Disregard
- Training Programs Funded by HUD
- Minimum Income
- Asset and Asset Income
- Contributions to Retirement Funds
- Disability Assistance Expense
- Medical Expense
- Family Choice of Rents

Chapter 7 – Verification Procedures

Updates/Additions:

- Number of Attempts to Obtain Third-Party Verifications
- Enterprise Income Verification (EIV)
- Third Party Written Verification
- Acceptable Participant-Provided Documents
- Untimely Receipt of Third-Party Verification
- Release of Information
- Income from Assets
- Slit Households/Domestic Violence

Chapter 8 – Recertification

Updates/Additions:

- Eligibility For Continue Occupancy
- Annual Recertification
- Transfers That Occur Around Annual Recertification
- Completion of Annual Recertification
- Recertification by Mail – As a Reasonable Accommodation
- Home Visits – As a Reasonable Accommodation
- Collection of Information
- Requirements to Attend
- Failure to Respond to Notification to Recertify
- Verification of Information
- Criminal Record Checks on Existing Residents
- Changes in the Rent- Increases/Decreases
- Household Composition /Reporting Changes
- Remaining Family Member/Retention of Unit
- Changes in Unit Size
- Income – Increases/Decreases
- Continuance of Assistance for “Mixed” Families

Chapter 9 – Lease Termination Procedures

Updates/Additions:

- Termination by Resident
- Termination by RHA
- Notification Requirements
- Timing of the Notice
- Consideration of Circumstance

Chapter 10 – Transfer Policy

Updates/Additions:

- Transfers from an Accessible Unit
- Resident Initiated Transfers
- Transfer Vacate Charges
- Transfers to Scattered Sites

Chapter 11 – Physical Condition and Inspection Standards

Updates/Additions:

- Types of Inspections
- RECA Standards
- Determination of Responsibility

Chapter 12 - Self-Sufficiency and Community Service

Updates/Additions:

- ROSS Program
- Identifying Family Needs
- Community Service Family Obligations
- Community Service RHA Responsibilities
- Community Service Annual Recertification Review
- Community Service Verifications
- Non-Compliance of Family Members
- Monitoring and Sanctions against RHA

Chapter 13 – RHA PET POLICY

Was Appendix “D” we have developed a chapter which includes the policy

Updates/Additions:

- Registration of Pets
- Reasonable Accommodation for Assistance Animals
- Pet Deposits and Limitations
- Prohibited Animals
- Additional Conditions and Requirements
- Inspection and Other Rights of the Authority
- Pet Removal
- Termination of Tenancy
- Disposition of Pet Deposit(s)
- Liability
- Refusal to Register Pet(s)
- Pet Temporarily on the Premises
- Nuisance of Threat to Health or Safety

Chapter 14 – COMPLAINTS, GRIEVANCES AND APPEALS

Was Appendix “B” we have developed a chapter which includes the procedures

Updates/Additions:

- Complaints
- Informal Review Procedure for Applicants
- Informal Settlement of Grievance
- Procedure to Obtain a Hearing
- Procedures Governing the Hearing
- Decision of the Hearing Officer or Hearing Panel

Chapter 15 – FAMILY DEBTS TO THE RHA – New Chapter

Additions:

- Denying Assistance Based on Debts
- Repayment Agreement
- Late Payments
- Guidelines for Repayment Agreements
- Debts Due: Fraud/Non-Reporting of Information
- Family Error/Late Reporting
- Program Fraud

Section 8 – Administrative Plan Summary of Changes

Chapter 1 – Page 8-13

RHA Ethics Code & Standard of Conduct Policy

Chapter 2 – Page 5

Social Security Numbers for all family members

Chapter 6 – Page 2 & Page 13

Minimum Rent Change from \$25 to \$50

Chapter 7 – Page 20 -21

Verification of Social Security Numbers for all family members (removed age limit)

New family members will be required to produce Social Security Card (removed age limit)

Chapter 11 – Page 7 - 8

Adjustment to Payment Standard

Termination on Housing Assistance Payment Contracts Due to Insufficient Funding

Cost Cutting – Initiatives

Chapter 12 – Page 4

Interim Reexamination Policy



Board Recommendation Memo

TO: John Cressman, Executive Director
FROM: Bevlyn Weston, Section 8 Housing Choice Voucher Director
DATE: October 26, 2010
SUBJECT: Section 8 – HCV Administrative Plan

BACKGROUND:

Revision to the Administrative Plan is needed to update policy and procedures. The Department of Housing and Urban Development allows housing authorities to set policy as stated in the federal regulations.

SUMMATION:

In accordance with HUD regulation, the recommended policy has been posted for the 30-days comment period. With no comments being received, I am recommending that the RHA Board of Commissioners approve the proposed amendment to the Section 8 Housing Choice Voucher Administrative Plan.

PREVAILING WAGES – N/A

FUNDING – N/A

RECOMMENDATION:

It is recommended that the RHA Board of Commissioners resolve to approve the following policy change to the Section 8 Housing Choice Voucher Administrative Plan.

Decrease in the Program Payment Standards

This recommended decrease in the program payment standard is a cost cutting initiatives in an effort to avoid termination of family assistance and HAP contract termination.

In accordance with 24CFR 982.505 (a) (3) we have also requested a special waiver of the requirement that payment standard decreases be made effective at the family's second annual recertification. Our cost cutting strategy calls for the effective date to be December 1, 2010, with notice to the participants and owners on or about October 28, 2010.

Cc: File

****Hardship Requests for an Exception to Minimum Rent**

The PHA recognizes that in some circumstances even the minimum rent may create a financial hardship for families. The PHA will review all relevant circumstances brought to the PHA's attention regarding financial hardship as it applies to the minimum rent. The following section states the PHA's procedures and policies in regard to minimum rent financial hardship as set forth by the Quality Housing and Work Responsibility Act of 1998. HUD has defined circumstances under which a hardship could be claimed.

Criteria For hardship Exception

In order for a family to qualify for a hardship exception the family's circumstances must fall under one of the following HUD hardship criteria:

The family has lost eligibility or is awaiting an eligibility determination for Federal, State, or local assistance;

The family would be evicted because it is unable to pay the minimum rent.

The income of the family has decreased because of changed circumstances, including:

Loss of employment: defined as being laid off or terminated through no fault of the family.

Loss of employment does not, for the purpose of exemption to minimum rent, include voluntarily quitting employment.

Death in the family leading to loss of income

Other circumstances as determined by the PHA or HUD

PHA Notification of Families of Right to Hardship Exception

The PHA will notify all families subject to minimum rent of their right to request a minimum rent hardship exception. *"Subject to minimum rent" means the minimum rent was the greatest figure in the calculation of the greatest of 30% of monthly adjusted income, 10% of monthly income or minimum rent.*

If the minimum rent (\$50) is the greatest figure in the calculation of Total Tenant Payment, PHA staff will include a copy of the notice regarding hardship request provided to the family in the family's file.

The PHA notification will advise families that hardship exception determinations are subject to PHA review and Hearing procedures.

The PHA will review all family requests for exception from the minimum rent due to financial hardships.

All requests for minimum rent hardship exceptions are required to be in writing.

Requests for minimum rent exception will be accepted by the PHA from the family only in writing.

The PHA will request documentation as proof of financial hardship.

The PHA will use its standard verification procedures to verify circumstances which have resulted in financial hardship.

Requests for minimum rent exception must include a statement of the family hardship that qualify the family for an exception.

Temporary Hardship

If the PHA determines that the hardship is temporary, a minimum rent may not be imposed for a period of ninety (90) days beginning the month following the date of the family's request for a hardship exemption. At the end of the ninety (90) day suspension period, the PHA will reinstate the minimum rent retroactively to the beginning of the suspension. The family will be offered a reasonable repayment agreement for any amount of back rent owed by the family. The PHA defines temporary as ninety (90) days.

No Qualifying Hardship

If the PHA determines that there is no hardship covered by the statute, a minimum rent will be imposed retroactively to the time of suspension. The family must pay any back rent and will be offered a reasonable repayment agreement. The PHA will not enter into a repayment agreement that will take more than twelve (12) months to pay off. If the family goes into default on the repayment agreement for back rent incurred during a minimum rent period, the PHA will reevaluate the family's ability to pay the increased rent and:

- *Determine whether the family has the means to meet the obligation and, if so determined, initiate eviction proceedings for nonpayment of rent; or*
- *Determine that the repayment agreement is a financial hardship to the family and if so, restructure the existing repayment agreement*

Long-Term Duration Hardship Exemption (24 CFR 5.630)

If the PHA determines a qualifying financial hardship is long term, the PHA will exempt the family from the minimum rent requirements for as long as the hardship continues. The exemption will apply from the beginning of the month following the family's request for a hardship exemption until the end of the qualifying financial hardship.

**CERTIFICATION OF DOMESTIC
VIOLENCE, DATING VIOLENCE,
OR STALKING**

**U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing**

OMB Approval No. 2577-0249

Exp. (02/28/2014)

IL022kv02 – Attachment VAWA

Purpose of Form: The Violence Against Women and Justice Department Reauthorization Act of 2005 (“VAWA”) protects qualified tenants, participants, and applicants, and family members of tenants, participants, and applicants, who are victims of domestic violence, dating violence, or stalking from being denied housing assistance, evicted, or terminated from housing assistance based on acts of such violence against them.

Use of Form: This is an optional form. A PHA, owner or management agent presented with a claim for continued or initial tenancy or assistance based on status as a victim of domestic violence, dating violence or stalking (herein referred to as “Victim”) has the option to request that the victim document or provide written evidence to demonstrate that the violence occurred. The Victim has the option of either submitting this form or submitting third-party documentation, such as:

- (1) A Federal, State, tribal, territorial, or local police or court record; or
- (2) Documentation signed by an employee, agent or volunteer of a victim service provider, an attorney or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking, or the effects of abuse, in which the professional attests under penalty of perjury (28 U.S.C. 1746) to the professional’s belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation.

If this form is used by the Victim, the Victim must complete and submit it within 14 business days of receiving it from the PHA, owner or management agent. This form must be returned to the person and address specified in the written request for the certification. If the Victim does not complete and return this form (or provide third-party verification) by the 14th business day or by an extension of the date provided by the PHA, management agent or owner, the Victim cannot be assured s/he will receive VAWA protections.

If the Victim submits this form, the PHA, owner or management agent cannot require any additional evidence from the Victim.

Confidentiality: All information provided to a PHA, owner or management agent concerning the incident(s) of domestic violence, dating violence, or stalking relating to the Victim shall be kept confidential by the PHA, owner or management agent, and such details shall not be entered into any shared database. Employees of the PHA, owner, or management agent are not to have access to these details unless to afford or reject VAWA protections to the Victim; and may not disclose this information to any other entity or individual, except to the extent that disclosure is: (i) consented to by the Victim in writing; (ii) required for use in an eviction proceeding; or (iii) otherwise required by applicable law.

**TO BE COMPLETED BY THE VICTIM OF DOMESTIC VIOLENCE, DATING VIOLENCE, OR
STALKING:**

Date Written Request Received by Victim: _____

Name of Victim: _____

Names of Other Family Members Listed on the Lease: _____

Name of the Perpetrator: _____

Perpetrator’s Relationship to Victim: _____

Date(s) the Incident(s) of Domestic Violence, Dating Violence or Stalking Occurred: _____

Location of Incident(s): _____

Description of Incident(s) (This description may be used by the PHA, owner or management agent for purposes of evicting the perpetrator. Please be as descriptive as possible.):

[INSERT TEXT LINES HERE]

I hereby certify that the information that I have provided is true and correct and I believe that, based on the information I have provided, that I am a victim of domestic violence, dating violence, or stalking and that the incident(s) in question are bona fide incidents of such actual or threatened abuse. I acknowledge that submission of false information is a basis for denial of admission, termination of assistance, or eviction.

Signature _____ Executed on (Date) _____

Public reporting burden for this collection of information is estimated to average 1 hour per response. This includes the time for collecting, reviewing, and reporting the data. Information provided is to be used by PHAs and Section 8 owners or management agents to request a tenant to certify that the individual is a victim of domestic violence, dating violence or stalking. The information is subject to the confidentiality requirements of the HUD Reform Legislation. This agency may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.

Attachment L
PHA Plan 2011
Attachment Index

IL022v05	Face Sheet Profile
IL022av05	Carbon Monoxide Act
IL022bv05	Plan Elements
IL022cv05	ARRA Procurement Changes
IL022dv05	Resident Board Members & Public Hearing Minutes
IL022ev05	Challenged Elements of Plan
IL022fv05	Scattered Sites Planned Dispositions
IL022gv05	Organizational Chart
IL022hv05	ACOP Summary of Changes
IL022iv05	Section 8 – Administrative Plan Summary of Changes
IL022jv05	Section 8 – HCV Administrative Plan
IL022kv05	Certification of VAWA
IL022lv05	Attachment Index